

1 Title 36 STATE LOTTERY AND GAMING CONTROL AGENCY

2
3 Subtitle 06 ELECTRONIC GAMING DEVICES

4
5 Chapter 05 Electronic Gaming Device Technical Standards

6
7 Authority: State Government Article, § 9-110 and Criminal Law Article §§ 12-301 and
8 12-301.1, Annotated Code of Maryland

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10
11 **.01 Testing, Certification and Approval of Equipment, a System or Software.**

12
13 A. A manufacturer or distributor may not offer the equipment, systems or software
14 enumerated in § E of this regulation, or a modification to a Commission approved version
15 of that equipment, system or software, for sale, lease, distribution or use in a facility
16 without it having been:

17
18 (1) Tested and certified by:

19
20 (a) An independent certified testing laboratory;

21
22 (b) The Commission; or

23
24 (c) Other testing facility recognized by the Commission; and

25
26 (2) Approved in writing by the Commission.

27
28 B. An electronic gaming device facility licensee ~~facility operator~~ may not purchase, lease
29 or otherwise acquire the right to install, utilize or make available for use the equipment,
30 systems or software enumerated in § E of this regulation, or a modification to a
31 Commission approved version of that equipment, system or software, without it having
32 been:

33
34 (1) Tested and certified by:

35
36 (a) An independent certified testing laboratory;

37
38 (b) The Commission; or

39
40 (c) Other testing facility recognized by the Commission; and

41
42 (2) Approved in writing by the Commission.

43 ~~(1) Tested and certified by an independent certified testing laboratory; and~~

44
45 ~~(2) Approved in writing by the Commission.~~

1 | C. An electronic gaming device facility licensee ~~A facility operator~~ may not modify, alter
2 | or tamper with an electronic gaming device.

3 |
4 | D. Modification, alteration or tampering with an electronic gaming device may result in
5 | the immediate suspension of a ~~facility~~ license by the Commission.

6 |
7 | E. The testing, certification and approval requirements of this regulation shall, at a
8 | minimum, apply to:

9 |
10 | (1) An electronic gaming device; and

11 |
12 | (2) Any component of the device.

13 |
14 | F. A prototype of equipment, a system or software required to be tested, certified and
15 | approved under § E of this regulation, or a modification to a Commission approved
16 | version of that equipment, system or software shall, at a minimum, be tested for:

17 |
18 | (1) Overall operational integrity;

19 |
20 | (2) Compliance with applicable state laws and commission regulations; and

21 |
22 | (3) Any other standards required by the Commission pertaining to the equipment, system
23 | or software.

24 |
25 | G. If a facility operator develops any equipment, system or software that is functionally
26 | equivalent to that enumerated in this regulation, or modifies a Commission approved
27 | version of that equipment, system or software, the facility operator shall be subject to the
28 | testing, certification and approval requirements of this chapter to the same extent as if the
29 | equipment, system or software were developed or modified by a manufacturer.

30 |
31 | H. A manufacturer or distributor shall pay all costs of testing, certification and approval
32 | under this chapter including, but not limited to, all costs associated with:

33 |
34 | (1) Transportation;

35 |
36 | (2) Equipment and technical services required ~~by an independent certified testing~~
37 | ~~laboratory~~ to conduct the testing and certification process; and

38 |
39 | (3) Implementation testing.

40 |
41 | **.02 Submission of an Electronic Gaming Device for Testing and Certification.**

42 |
43 | A. A manufacturer or distributor seeking Commission approval for an electronic gaming
44 | device shall submit a prototype of the electronic gaming device ~~to an independent~~
45 | ~~certified testing laboratory~~ to a location designated by the Commission.

1 B. A manufacturer seeking Commission approval for a modification to a Commission
2 approved version of an electronic gaming device, including a change in theme, shall
3 submit the modification ~~to an independent certified testing laboratory~~ to a location
4 designated by the commission.

5
6 C. At the conclusion of testing of a prototype or modification to an electronic gaming
7 device, ~~an the independent certified testing laboratory~~ entity shall issue to the Commission
8 a certification report in an:

- 9
10 (1) Electronic form; and
11
12 (2) Format acceptable to the Commission.
13

14 D. Upon receipt of a certification report from an ~~n independent certified~~ testing
15 laboratory entity, but prior to a decision to approve a prototype or modification to an
16 electronic gaming device, the Commission may require a trial period.
17

18 (1) A trial period shall be of a scope and duration the Commission deems appropriate to
19 assess the operation of the electronic gaming device in a live environment.
20

21 (2) A trial period shall be subject to compliance by the manufacturer or distributor and
22 the facility operator with specific terms and conditions required by the Commission.
23

24 (3) The Commission may order termination of the trial period at any time upon a
25 determination by the Commission that:

26
27 (a) A manufacturer, distributor or facility operator has not complied with the terms and
28 conditions required by the Commission; or
29

30 (b) The electronic gaming device is not performing as expected.
31

32 E. Upon receipt of a certification report from an ~~n independent certified~~ testing
33 laboratory entity,
34 the Commission may:
35

36 (1) Approve the prototype or modification, with or without specific conditions;
37

38 (2) Reject the prototype or modification;
39

40 (3) Require additional testing; or
41

42 (4) Require a trial period under § D of this regulation.
43

44 F. Commission approval of a prototype or modification of an electronic gaming device
45 does not constitute a guarantee of its safety or reliability.
46

1 **.03 Related Documentation.**

2
3 | A. Upon request, a manufacturer or distributor shall deliver to the Commission:

4
5 (1) Technical and operator manuals;

6
7 (2) Pay table information;

8
9 (3) A file, in a form satisfactory to the Commission, describing the electronic gaming
10 device, including:

11
12 | (a) Manufacturer/Distributor²s:

13
14 (i) Serial number;

15
16 (ii) Model number;

17
18 (iii) Software identification number; and

19
20 (iv) Version number;

21
22 (b) Denomination or a designation as multi-denomination;

23
24 (c) Cabinet style; and

25
26 (d) Other information required by the Commission.

27
28 **.04 Emergency Modification of Equipment, a System or Software.**

29
30 A. Notwithstanding the requirements of Regulations .01 and .02 of this chapter, the
31 | Commission may, on submission of a written request by a manufacturer or distributor,
32 authorize installation of a modification to equipment, a system or software required to be
33 tested, certified and approved by the Commission under Regulation .01 of this chapter, on
34 an emergency basis.

35
36 | B. A written request submitted by a manufacturer or distributor to the Commission shall
37 document the:

38
39 (1) Equipment, system or software proposed for emergency modification including:

40
41 (a) Software identification number; and

42
43 (b) Version number;

44
45 (2) Facility;

1 (3) Reason for the emergency modification; and

2
3 (4) Proposed date and time of installation.

4
5 | C. A manufacturer or distributor may not install an emergency modification without the
6 written approval of the Commission.

7
8 D. No more than 15 days following receipt of Commission authorization on an
9 | emergency modification, a manufacturer or distributor shall submit a modification
10 identical to that receiving emergency authorization for testing, certification and approval
11 under this chapter.

12
13 **.05 Notice of Known or Suspected Defect.**

14
15 | A. A manufacturer, distributor or facility operator shall immediately notify the
16 Commission of any known or suspected defect or malfunction in equipment, system or
17 software required to be tested, certified and approved by the Commission.

18
19 | B. A manufacturer or distributor shall:

20
21 (1) Confirm in writing any notice given to the Commission verbally, pursuant to § A of
22 this regulation; and

23
24 | (2) If required by the Commission, notify a an electronic gaming device facility licensee
25 facility operator of any known or suspected defect or malfunction in equipment, a system
26 or software installed in its facility.

27
28 C. A facility operator shall immediately notify the Commission of any known or
29 suspected defect or malfunction in equipment, system, or software required to be tested,
30 certified, and approved by the Commission.

31
32 D. A facility operator shall confirm in writing any notice given to the Commission
33 verbally, pursuant to § C of this regulation.

34
35 **.06 Revocation.**

36
37 A. The Commission may, at any time, revoke an approval granted under this chapter to
38 equipment, a system or software on a determination by the Commission that the
39 equipment, system or software does not comply with the technical standards specified by
40 the Commission pertaining to the equipment, system or software, as amended or clarified.

41
42 B. The Commission may, at any time, impose additional conditions on the operation or
43 placement of Commission approved equipment, systems, or software.