

1 D. Modification, alteration or tampering with an electronic gaming device may result in
2 the immediate suspension of a license by the Commission.

3
4 E. The testing, certification and approval requirements of this regulation shall, at a
5 minimum, apply to:

6
7 (1) An electronic gaming device; and

8
9 (2) Any component of the device.

10
11 F. A prototype of equipment, a system or software required to be tested, certified and
12 approved under § E of this regulation, or a modification to a Commission approved
13 version of that equipment, system or software shall, at a minimum, be tested for:

14
15 (1) Overall operational integrity;

16
17 (2) Compliance with applicable state laws and commission regulations; and

18
19 (3) Any other standards required by the Commission pertaining to the equipment, system
20 or software.

21
22 G. If a facility develops any equipment, system or software that is functionally
23 equivalent to that enumerated in this regulation, or modifies a Commission approved
24 version of that equipment, system or software, the facility shall be subject to the testing,
25 certification and approval requirements of this chapter to the same extent as if the
26 equipment, system or software were developed or modified by a manufacturer.

27
28 H. A manufacturer or distributor shall pay all costs of testing, certification and approval
29 under this chapter including, but not limited to, all costs associated with:

30
31 (1) Transportation;

32
33 (2) Equipment and technical services required to conduct the testing and certification
34 process; and

35
36 (3) Implementation testing.

37
38 **.02 Submission of an Electronic Gaming Device for Testing and Certification.**

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40 A. A manufacturer or distributor seeking Commission approval for an electronic gaming
41 device shall submit a prototype of the electronic gaming device to a location designated
42 by the Commission.

43
44 B. A manufacturer seeking Commission approval for a modification to a Commission
45 approved version of an electronic gaming device, including a change in theme, shall
46 submit the modification to a location designated by the commission.

1
2 C. At the conclusion of testing of a prototype or modification to an electronic gaming
3 device, the testing entity shall issue to the Commission a certification report in an:

- 4
5 (1) Electronic form; and
6
7 (2) Format acceptable to the Commission.
8

9 D. Upon receipt of a certification report from a testing entity, but prior to a decision to
10 approve a prototype or modification to an electronic gaming device, the Commission may
11 require a trial period.
12

13 (1) A trial period shall be of a scope and duration the Commission deems appropriate to
14 assess the operation of the electronic gaming device in a live environment.
15

16 (2) A trial period shall be subject to compliance by the manufacturer or distributor and
17 the facility with specific terms and conditions required by the Commission.
18

19 (3) The Commission may order termination of the trial period at any time upon a
20 determination by the Commission that:
21

22 (a) A manufacturer, distributor or facility has not complied with the terms and conditions
23 required by the Commission; or
24

25 (b) The electronic gaming device is not performing as expected.
26

27 E. Upon receipt of a certification report from a testing entity, the Commission may:
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29 (1) Approve the prototype or modification, with or without specific conditions;
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31 (2) Reject the prototype or modification;
32

33 (3) Require additional testing; or
34

35 (4) Require a trial period under § D of this regulation.
36

37 F. Commission approval of a prototype or modification of an electronic gaming device
38 does not constitute a guarantee of its safety or reliability.
39

40 **.03 Related Documentation.** 41

42 A. Upon request, a manufacturer or distributor shall deliver to the Commission:
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44 (1) Technical and operator manuals;
45

46 (2) Pay table information;

1
2 (3) A file, in a form satisfactory to the Commission, describing the electronic gaming
3 device, including:

4
5 (a) Manufacturer/Distributor:

6
7 (i) Serial number;

8
9 (ii) Model number;

10
11 (iii) Software identification number; and

12
13 (iv) Version number;

14
15 (b) Denomination or a designation as multi-denomination;

16
17 (c) Cabinet style; and

18
19 (d) Other information required by the Commission.
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21 **.04 Emergency Modification of Equipment, a System or Software.**

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23 A. Notwithstanding the requirements of Regulations .01 and .02 of this chapter, the
24 Commission may, on submission of a written request by a manufacturer or distributor,
25 authorize installation of a modification to equipment, a system or software required to be
26 tested, certified and approved by the Commission under Regulation .01 of this chapter, on
27 an emergency basis.
28

29 B. A written request submitted by a manufacturer or distributor to the Commission shall
30 document the:

31
32 (1) Equipment, system or software proposed for emergency modification including:

33
34 (a) Software identification number; and

35
36 (b) Version number;

37
38 (2) Facility;

39
40 (3) Reason for the emergency modification; and

41
42 (4) Proposed date and time of installation.
43

44 C. A manufacturer or distributor may not install an emergency modification without the
45 written approval of the Commission.
46

1 D. No more than 15 days following receipt of Commission authorization on an
2 emergency modification, a manufacturer or distributor shall submit a modification
3 identical to that receiving emergency authorization for testing, certification and approval
4 under this chapter.

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6 **.05 Notice of Known or Suspected Defect.**

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8 A. A manufacturer, distributor or facility shall immediately notify the Commission of any
9 known or suspected defect or malfunction in equipment, system or software required to
10 be tested, certified and approved by the Commission.

11
12 B. A manufacturer or distributor shall:

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14 (1) Confirm in writing any notice given to the Commission verbally, pursuant to § A of
15 this regulation; and

16
17 (2) If required by the Commission, notify an electronic gaming device facility licensee of
18 any known or suspected defect or malfunction in equipment, a system or software
19 installed in its facility.

20
21 C. A facility shall immediately notify the Commission of any known or suspected defect
22 or malfunction in equipment, system, or software required to be tested, certified, and
23 approved by the Commission.

24
25 D. A facility shall confirm in writing any notice given to the Commission verbally,
26 pursuant to § C of this regulation.

27
28 **.06 Revocation.**

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30 A. The Commission may, at any time, revoke an approval granted under this chapter to
31 equipment, a system, or software on a determination by the Commission that the
32 equipment, system, or software does not comply with the technical standards specified by
33 the Commission pertaining to the equipment, system, or software, as amended or
34 clarified.

35
36 B. The Commission may, at any time, impose additional conditions on the operation or
37 placement of Commission approved equipment, systems, or software.