

**Title 36 STATE LOTTERY AND
GAMING CONTROL AGENCY**

Subtitle 03 GAMING PROVISIONS

Chapter .10 Video Lottery Facility Minimum Internal Control Standards

**Authority: State Government Article, §§ 9-1A-04(d), 9-1A-24(f), Annotated Code of
Maryland**

.35 Gaming Ticket.

A. A facility operator may issue a gaming ticket and utilize a gaming ticket system meeting the requirements of this subtitle.

B. A facility operator shall:

(1) Issue a gaming ticket which does not expire for 182 days after the date of issuance;

(2) Configure its gaming ticket system to:

(a) Prevent issuance of a gaming ticket exceeding \$10,000; and

(b) Require gaming tickets of \$5,000 or more to be redeemed only at the cashiers' cage;

(3) Configure a ticket redemption unit under Regulation .38 of this regulation to:

(a) Redeem only a gaming ticket of less than \$5,000; and

(b) Direct a player attempting to redeem a gaming ticket of \$5,000 or more to the cashiers' cage; and

(4) Redeem at its cashiers' cage a gaming ticket of:

~~(a) \$5,000 or more by check; and~~

~~(b) Less than \$5,000 by:~~

For September 25, 2014 Commission.

The proposed deletion from this regulation would allow players to redeem gaming tickets of \$5,000 or more by cash or check at the cashier's cage.

Updated Sept. 15, 2014

1 ~~(i)~~ **(a)** Cash or check; or

2
3 ~~(ii)~~ **(b)** Check on the request of a player.

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5 C. A facility operator shall immediately report to the Commission evidence that a gaming ticket
6 has been counterfeited, tampered with, or altered in any way which would affect the integrity,
7 fairness, or reliability of the gaming ticket.

8
9 D. A facility operator shall develop and include in the internal controls submitted to and
10 approved by the Commission under Regulation .05 of this chapter procedures addressing the
11 issuance and redemption of a gaming ticket.

12
13 E. A facility operator's internal controls shall:

14
15 (1) Require a gaming ticket to include:

16 (a) Name or trade name of the facility operator;

17 (b) Date and time of issuance;

18 (c) Amount of the gaming ticket;

19 (d) Unique series number automatically generated by the gaming ticket system;

20 (e) Asset number of the video lottery terminal dispensing the gaming ticket;

21 (f) At least one anticounterfeiting measure, which appears on one or both sides of
22 the gaming ticket;

23 (g) Locations where the gaming ticket may be redeemed and any restrictions
24 applicable to redemption;

25 (h) A bar code or magnetic strip which enables the gaming ticket system to
26 identify the numeric information required by this section; and

27 (i) Notice to the player of the terms of expiration; and

28 (2) Include procedures and controls which:

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1
2 (a) Require a gaming ticket system to perform the following prior to payment:
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4 (i) Verify the validity of the series number and amount of the gaming
5 ticket; and
6

7 (ii) Electronically cancel the gaming ticket;
8

9 (b) Require the gaming ticket system to be configured:
10

11 (i) To permit access to the complete series number of an unredeemed
12 gaming ticket only to gaming ticket system administrative employees and
13 accounting department employees not assigned to the cashiers' cage; and
14

15 (ii) To maintain a record of all unredeemed gaming tickets for a minimum
16 of 2 years from the date of issuance of the gaming ticket unless a request
17 to remove or relocate system records is submitted in writing and approved
18 in writing by the Commission;
19

20 (c) Address the following events:
21

22 (i) Calculation and transmittal by the facility operator of its outstanding
23 expired unredeemed gaming ticket balance to the State;
24

25 (ii) An election by a facility operator to pay a gaming ticket when the
26 gaming ticket system is inoperable or otherwise unable to verify the
27 validity of the gaming ticket at the time of payment; and
28

29 (iii) An election by a facility operator to pay a gaming ticket where the
30 gaming ticket system fails to verify and electronically cancel the gaming
31 ticket when it is presented by the player and scanned for verification; and
32

33 (d) Require generation, at the conclusion of each gaming day, of reports detailing:
34

35 (i) Gaming tickets issued;
36

37 (ii) Gaming tickets redeemed and cancelled by redemption location;
38

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- 1 (iii) Unredeemed liability for gaming tickets;
2
3 (iv) Readings on gaming ticket related video lottery terminal meters;
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5 (v) Meter readings compared to number and amount of issued and
6 redeemed gaming tickets; and
7
8 (vi) Any exceptions.

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