

1 **Title 36 MARYLAND STATE LOTTERY AND GAMING CONTROL AGENCY**

2 **Subtitle 03 GAMING PROVISIONS**

3 **Chapter 10 Video Lottery Facility Minimum Internal Control Standards**

4 **Authority: State Government Article, §§9-1A-02 and 9-1A-04**
5 **Annotated Code of Maryland**

6 **.28 Counter Check Substitution, Consolidation, and Redemption.**

7 A. A player to whom a counter check has been issued by a facility operator may use a personal
8 check meeting the requirements of §B of this regulation to:

9 (1) Substitute for a counter check which has not been deposited by the facility operator;

10 (2) Consolidate two or more counter checks which have not been deposited by the facility
11 operator; and

12 (3) Redeem, partially or in full, a counter check which has not been deposited by a
13 facility operator.

14 B. A personal check accepted by a facility operator in a substitution, consolidation, or
15 redemption transaction shall:

16 (1) Be drawn on an account which has been verified in accordance with Regulation .25 of
17 this chapter;

18 (2) Except as provided in C of this regulation, comply with the requirements of
19 Regulation .20 of this chapter; and

20 (3) Be redeemed or deposited in accordance with Regulation .29 of this chapter.

21 C. If a personal check is accepted in an amount less than or equal to the amount of a counter
22 check being partially or fully redeemed, the \$5,000 limitation on acceptance of personal checks
23 in Regulation .20~~F~~ G of this chapter does not apply.

24 D. A player who has issued a replacement check to a facility operator may present another
25 replacement check in a substitution, consolidation, or partial redemption transaction involving
26 the original replacement check.

For August 27, 2015 Commission. The amendment to §C of this regulation is intended to correct a reference to another section within this regulation.

1 E. A replacement check accepted by a facility operator in a substitution, consolidation, or partial
2 redemption transaction shall be:

3 (1) Dated with the date of the initial counter check being substituted, consolidated, or
4 partially redeemed; or

5 (2) If the check is subject to Commission-approved internal controls which ensure that
6 the date of the initial counter check being substituted, consolidated, or partially redeemed
7 is used to determine the date on which the replacement check is deposited under
8 Regulation .29 of this chapter, currently dated.

9 F. A facility operator may not accept a replacement check in substitution, consolidation, or
10 partial redemption for the purpose of avoiding or delaying the deposit requirements for a counter
11 check or replacement check under Regulation .29 of this chapter.

12 G. If a player has more than one counter check or replacement check which has not been
13 deposited, the most recently dated check shall be redeemed first, except that:

14 (1) If more than one check bears the same date, the player may choose the order in which
15 to redeem the checks; and

16 (2) If, pursuant to Commission-approved internal controls, a facility operator does not
17 require a replacement check used in a substitution, consolidation, or partial redemption
18 transaction to be dated with the date of the initial counter check being substituted,
19 consolidated, or partially redeemed, then the date of the initial counter check and not the
20 date of the replacement check shall be used to determine the order in which outstanding
21 checks are redeemed.

22 H. A facility operator may conduct a substitution, consolidation, or partial redemption
23 transaction:

24 (1) At the cashiers' cage during the hours of operation approved by the Commission for
25 the facility under COMAR 36.03.11.02; and

26 (2) By mail.

27 I. A facility operator shall develop and include in the internal controls submitted to and approved
28 under Regulation .05 of this chapter procedures and controls addressing the substitution,
29 consolidation, and full or partial redemption of a counter check.

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For August 27, 2015 Commission. The amendment to §C of this regulation is intended to correct
a reference to another section within this regulation.