Title 36 MARYLAND STATE LOTTERY AND GAMING CONTROL AGENCY

Subtitle 03 GAMING PROVISIONS

Chapter 10 Video Lottery Facility Minimum Internal Control Standards

Authority: State Government Article, §§ 9-1A-02, and 9-1A-04, Annotated Code of Maryland

.26 Counter Check Issuance at the Cashiers' Cage.

A. Subject to the requirements of this chapter, a facility operator may accept a negotiable instrument in the form of a counter check from a player at the cashiers' cage to enable the player to take part in gaming.

B. A facility operator shall develop and include in the internal controls submitted to and approved by the Commission under Regulation .05 of this chapter procedures addressing the issuance of a counter check at the cashiers' cage.

C. A facility operator's internal controls shall include:

(1) The use of a ~~four~~ **three**-part computer-generated counter check that:

(a) Consists of an original, ~~redemption,~~ issuance, and accounting copy;

(b) Is initiated on receipt by a general cashier of a request for counter check document; and

(c) Is serially numbered and issued;

((2) – (5) text unchanged)

(6) Procedures and controls over the counter check issuance process which require:

(a) A cashiers' cage supervisor to sign all copies of the counter check after confirming that the counter check was prepared for the amount the player recorded on the counter check request document;

(b) A player to sign all copies of the counter check in the presence of the same general cashier who verified the player's identification and prepared the counter check;

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The proposed amendment changes the requirement to use a four-part counter check to a three-part counter check.

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1   (c) A general cashier to immediately exchange the counter check for:

2   (i) Cash;

3   (ii) If a facility operator has the capability, a gaming ticket; or

4   (iii) A customer deposit account in accordance with this chapter; and

5   (d) A general cashier to distribute the copies of the counter check as follows:

6   (i) The original ~~and redemption copies~~ **copy** of the counter check shall be expeditiously
7 transferred to the check bank directly or to a security department employee for transportation to
8 the cashiers' cage if the counter check is issued in a satellite cage;

9   (ii) The issuance copy of the counter check shall be maintained by the general cashier to
10 serve as documentation supporting the exchange of cash, a gaming ticket, or a customer deposit
11 for the counter check; and

12   (iii) The accounting copy of the counter check shall be attached by the general cashier to
13 the counter check request document and forwarded to the accounting department;

14   ((7) – (8) text unchanged)

15   (9) Details which establish the ability of the facility operator's video lottery system to ensure
16 that a ~~four~~ **three**-part computer-generated counter check is not susceptible to change or deletion
17 from the system after preparation; and

18   (10) Procedures utilized to issue a manual counter check which:

19   (a) Are to be utilized only when the video lottery system is unable to generate a counter
20 check;

21   (b) Conform to the requirements of this regulation;

22   (c) Require use of a serially pre-numbered ~~four~~ **three**-part counter check consisting of an
23 original, ~~redemption,~~ issuance, and accounting copy, residing in a book, wiz machine, or
24 functional equivalent;

25   (d) Require manual counter checks to be maintained in a secured locked cabinet in the
26 cashiers' cage; and

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1   (e) Require the key to the cabinet in §C(10)(d) of this regulation to be:

2   (i) Controlled by the security department or the cage department employees responsible
3   for the control of and accounting for the unused supply of counter checks; and

4   (ii) Limited to sign out by a cashiers' cage shift manager or cashiers' cage manager.

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