



Lottery and Gaming Control Commission

1800 Washington Boulevard, Suite 330, Baltimore, MD 21230

GAMING CONTRACTOR LICENSE RENEWAL APPLICATION

Form #1025

Applicant: _____

ELIBIGILITY AND REQUIREMENTS

- 1) Any currently licensed Maryland Gaming Contractor, other than an employee of a video operation licensee, who contracts with a video lottery operation licensee, a video lottery operation licensee applicant or other person to:
 - (a) Manage or operate a video lottery facility;
 - (b) Provide security for a video lottery facility;
 - (c) Perform service, maintenance, or repairs of a video lottery terminal, central operating system, associated equipment, or software;
 - (d) Own or control a person described above; or
 - (e) Provide any other service that is essential to operation of a video lottery facility must:
 - I. submit an application for renewal to the Commission at **least 6 months before the contractor's license expires**, but not more than 9 months before the contractor's license expires;
 - II. continue to comply with all licensing requirements;
 - III. submits to a background investigation; and
 - IV. pays the required renewal fees and costs described below in 'Fees and Costs'.
- 2) Applicant owners, current officers, directors, trustees listed on Exhibit 9 and Exhibit 11 of this form **must file** the Principal Employee Application Form 1004 or Principal Employee Renewal Application Form 1008.
- 3) All video lottery employees of a contractor must be licensed. A contractor must submit the Temporary Gaming Employee License Application Form 2003 if the employee has not previously been licensed by the Maryland Lottery and Gaming Commission (Commission) or a Gaming Employee License Renewal Application Form 4001 if the employee's Gaming license is within 150 days of expiration.

FEEES AND COSTS**License fee:****(Tier 1)**

\$2,500 for a contractor who contracts with a video lottery operation licensee or other person to:

- a. Manage or operate a video lottery facility;
- b. Provide security for a video lottery facility;
- c. Perform service, maintenance, or repairs of a video lottery terminal, table game device, central operating system, associated equipment, or software;
- d. Own or operate an item or Applicant listed above in (a), (b) or (c);
- e. Provide junket enterprise services; or
- f. Provide any other service that is essential to operation of a video lottery facility.

(Tier 2)

\$800 for a contractor who contracts with a video lottery operation licensee or other person to:

- g. Provide a service that is essential to the operation of a facility service, but has no contact with or access to a:
 - i. Central operating system;
 - ii. Facility's video lottery system
 - iii. Video lottery terminal; or
 - iv. Table game.

Background investigation costs:

A \$2,000 Background Investigation Deposit is required at the time an Applicant files a Gaming Contractor License Renewal Application. Should the deposit be exhausted prior to the completion of the background investigation, the Applicant will be billed for any additional investigative costs incurred by the Commission. Conversely, the Applicant will be refunded any unused portion of this fee once the investigation has been concluded.

TERM OF LICENSE, RENEWALS, EXEMPTIONS**Term:**

A Maryland Gaming Contractor license is valid for five years from the date of approval. Each subsequent renewal of a Maryland Gaming Contractor license is valid for five years from the date of approval.

Renewal process:

The Commission may renew the Gaming Contractor license if the contractor licensee:

- a. Submits an application for renewal to the Commission at least 6 months before the contractor's license expires, but not more than 9 months before the contractor's license expires;
- b. Continues to comply with all licensing requirements;
- c. Submits to a background investigation; and
- d. Pays license renewal fees and costs described above in 'Fees and Costs'.

Exemptions:

A contractor is exempt from:

- (1) Bond requirements under COMAR 36.03.02.15.; and
- (2) Labor peace agreement requirements under State Government Article, §9-1A-07(c)(7)(v), Annotated Code of Maryland, unless the contractor is engaged:
 - (a) As a lessee;
 - (b) As a tenant; or
 - (c) Under a management agreement.

REMITTANCE OF FEES AND COSTS

Note: Application fees, license fees and the background investigation deposit, made payable to “*Maryland Lottery and Gaming Control Agency*”, are due at the time of application. Application fees and license fees are non-refundable. You may wire transfer your payment or send it (certified/bank check or money order) with the application to the following address:

Maryland Lottery and Gaming Control Agency
 Attn: Casino Licensing and Background Investigation Division
 1800 Washington Blvd, Suite 330
 Baltimore, Maryland 21230

Wire Payment to:

1. **Maryland Lottery and Gaming Control Agency Account Number:** 4928823376
2. **Name of the Account** – Maryland State Lottery Wells Fargo Bank, N.A.
3. **If required,** the SWIFT code is WFBIU6S ABA Routing Number: 121000248

TABLE OF CONTENTS

	<u>Page #</u>
Section A	IMPORTANT NOTICES.....5
Section B	INSTRUCTIONS... ..6
Section C	CONTRACTOR LICENSE RENEWAL PACKAGE FORMS8
Section D	DEFINITIONS9
Section E	APPLICANT INFORMATION.....9
E.1	Name of Applicant.....9
E.2	Contractor Business9
E.3	Licensee Association.....9
E.4	Applicant’s Form of Organization.....9
E.5	Point-of-Contact10
E.6	Applicant’s Principal Address10
E.7	Incorporation10
	FORM 4506-T17
	EXHIBITS18 - 34
	REQUIRED ATTACHMENT CHECKLIST..... 35
	AUTHORIZATION FOR RELEASE OF INFORMATION.36

AFFIDAVIT OF REPRESENTATIVE OF APPLICANT37

ACKNOWLEDGEMENT AND DISCLOSURE38

CERTIFICATION OF BUSINESS RELATIONSHIP.....39

SECTION A - IMPORTANT NOTICES

- A.1** This form is an official document of the Maryland Lottery and Gaming Control Commission (“Commission”). It may not be altered or changed, except to insert the information that is required. Any alteration or change to this document may cause this application or your license to be delayed or denied.
- A.2** The Maryland Contractor license is a privilege. The burden of proving qualifications to receive and hold a license is at all times on the Applicant. The Applicant accepts any and all risk of adverse public notice, embarrassment, criticism, emotional distress or financial loss that may result from any action with respect to this application. The Applicant expressly waives any and all claims for damages as result thereof. Information not called for in this form or in addition to what is provided in response to this form may be requested. The Applicant shall provide all information, documents, materials and certifications at the Applicant’s own expense.
- A.3** You must make accurate statements and include all material facts. Any misrepresentations, failure to provide any requested information, or failure to meet any other requirement as set out in law or regulation may result in the denial of the application and may subject you to civil and/or criminal penalties.
- A.4** The total cost of the investigation conducted pursuant to this application shall be borne by the Applicant. In addition, the Applicant is responsible for the payment of all application fees required under the law and regulations and the posting of a bond that may be required by the Commission. The application will not be processed until the fees have been submitted.
- A.5** The Applicant is under a continuing obligation to promptly disclose any changes in the information provided in the application, as well as any changes to the materials submitted at the request of the Commission. The duty to make such additional disclosures shall continue throughout any period of the license that is granted by the Commission.
- A.6** The Applicant shall promptly provide written notification to the Commission of any corrections or changes to this application once submitted or to any of the materials submitted at the request of the Commission.
- A.7** All notices regarding the application will be sent to the address provided by the Applicant on this form. The Applicant must immediately notify the Commission of any change of address.
- A.8** Any Applicant who applies for and obtains a license from the Commission may be required to submit to warrantless searches as stated in the law or regulation.

- A.9** All submissions with and for this application become the property of the Commission and **will not** be returned.
- A.10** Once the application has been submitted to the Commission, the Applicant **may not** withdraw its application without permission of the Commission.
- A.11** A completed application, with all of the original signatures, initials, and notarization must be submitted to the Maryland Lottery and Gaming Control Agency's Casino Licensing and Background Investigation Division. A copy of the completed application must be forwarded on the electronic storage device described in **A.12** and **A.13**.
- A.12** The Applicant must provide all accompanying documentation, attachments, appendices and/or supporting documents, (such as business formation papers and tax returns) on a **password protected**, electronic storage device, such as a CD or 'thumb drive', in **.pdf format**. The application and each document must be **saved as separate .pdf files** (not one continuous .pdf), and each file must be identified by name or designated exhibit number.
- A.13** The Applicant is required to mail, send or transmit the password to the Licensing Division in a timely fashion. The Applicant should forward the password **separately** from the application.
- A.14** The Maryland Lottery and Gaming Control Agency's Casino Licensing and Background Investigation Division is referred to throughout this application as the "Licensing Division".

SECTION B - INSTRUCTIONS

These instructions are applicable to any Applicant seeking a Maryland Gaming Contractor license ("license"). If a business entity that applies for a license is a subsidiary or if a business entity holding a license is to become a subsidiary, each holding company and each intermediary company with respect to the Applicant shall, as a condition of the subsidiary acquiring or retaining a license, provide all the information requested by the Commission.

- B.1** Read each question carefully. Answer each and every question completely. **Do not leave blank spaces**. If a question does not apply, write "Does not apply" or "N/A." If the correct answer to a particular question is "None," write "None."
- B.2** All entries on the form must be typed or printed block lettering. Initials or signatures must be in handwriting, unless otherwise stated by the Commission, by the person providing the information. If the answers are not legible, the application may not be accepted. Do not misstate or omit any material fact(s). All information is subject to verification. False or misleading information is grounds for the Commission to deny the application, or to suspend or revoke the license.
- B.3** The Applicant, if it is an individual, **must initial all pages** or if the Applicant is not an individual, the person authorized to complete the form on behalf of the Applicant (**Exhibit 19**) **must initial each page** as provided in lower right-hand corner. The Applicant is attesting to the accuracy and completeness of the information contained on that page and that they have read the page.
- B.4** If additional pages are required in order to answer any question, additional pages may be utilized and must be attached to the form. Be sure to indicate the number(s) of the question(s) being

answered and initial each additional page. Some schedules may require disclosure of information for more than one individual or entity or type of information. If there are multiple disclosures, make additional copies of the blank schedule and complete it for each individual or entity.

- B.5** All required documentation, such as business formation papers, tax returns and appendices, as well as the application forms that comprise an application package for a license, as listed above, must be submitted at the time of filing this form.
- B.6** An Applicant should give specific attention to the clear identification of those portions of its application that it deems to be confidential, proprietary commercial information or trade secrets, and provide justification of why such materials, upon request, should not be disclosed by the State pursuant to the Public Information Act (“PIA”), Title 10, Subtitle 6, State Government Article, Annotated Code of Maryland. Confidential information supplied shall be revealed in the course of the necessary administration of this application. A blanket statement by an Applicant that its entire application is confidential, proprietary commercial information or a trade secret is unacceptable. Applications shall be open to public inspection only after award of a license has been made, to the extent permitted by the PIA. The Applicant is advised that, upon request for this information from a third party, the Commission will make an independent determination whether the information may be disclosed. An Applicant or licensee waives any liability of the State of Maryland, and its employees and agents, the Commission, and the Maryland State Lottery and Gaming Control Agency for any damages resulting from any disclosure or publication in any manner.

When the Agency receives a PIA request, Staff retrieves and compiles all records that are responsive to the request. “Records” means all papers, emails, notes, recordings, maps, plans, forms, etc. After Staff compiles all responsive records, the Office of the Attorney General (OAG) reviews the request and the records, and makes a legal determination as to whether the records may be released. Additionally, when the Agency receives a request for records of an entity that holds a gaming-related license, or a vendor registration or certification, Agency Staff or the OAG typically advise the entity of the request and our anticipated response. The entity may file litigation to prevent the Agency from releasing records it wants kept confidential.

- B.7** The Commission may request additional financial and other information as needed.
- B.8** The license and application fees described in the “Fees and Costs” section on Pages 2 and 3 of this form and authorized by COMAR are non-refundable. Additional costs and expenses may be incurred by the Commission in its investigation of the Applicant. Background investigation costs will be assessed by the Commission and shall be reimbursed to the Commission promptly upon receipt of an invoice. The failure to reimburse the Commission for background investigation costs is a basis for disqualification of the Applicant.
- B.9** Attach proof of registration with the Maryland Department of Assessments and Taxation (MD SDAT) to do business within the State. A “Certificate of Good Standing” must be obtained from MD SDAT (**not** from the Maryland Comptroller’s Office). An Applicant will need to determine if the company’s status is listed as in “Good Standing” by checking the following: <http://sdattest.usa.org/ucc-charter/Pages/CharterSearch/default.aspx>. Assistance with this process may be obtained from: <http://dat.maryland.gov/Pages/default.aspx> or by sending email inquiries to charterhelp@dat.state.md.us if necessary.

SECTION C - CONTRACTOR LICENSE RENEWAL APPLICATION PACKAGE FORMS

- C.1 **Contractor Application Renewal and Disclosure Information (Form -1025)**
- C.2 **Principal Employee Application (Form -1004) or Principal Employee Renewal Application (Form -1008)** – An individual who is a Principal Employee of a (**Tier 1**) company, to include each Director, Partner, Officer, Trustee or Owner, applying renew a Gaming Contractor license who contracts with a video lottery operation licensee or other person to:
- a. Manage or operate a video lottery facility;
 - b. Provide security for a video lottery facility;
 - c. Perform service, maintenance, or repairs of a video lottery terminal, table game device, central operating system, associated equipment, or software;
 - d. Own or operate an item or Applicant listed above in (a), (b) or (c);
 - e. Provide junket enterprise services; or
 - f. Provide any other service that is essential to operation of a video lottery facility, **must:**
 - I. Be licensed by the Commission as a Principal Employee; or
 - II. Submit a Principal Employee License Application Form 1004, via MLGCA’s ‘eLicensing’ system, if the Director, Partner, Officer, Trustee or Owner has not previously been licensed by the Commission; or
 - III. Submit a Principal Employee License Renewal Application Form 1008, via MLGCA’s ‘eLicensing’ system, if the Director, Partner, Officer, Trustee or Owner’s Principal Employee License is within six months of expiration; unless
 - IV. The individual believes he or she is eligible for a waiver of a licensing requirement. An individual who submits the Principal Employee waiver form (Form 1007) does not need to complete Form 1004 or a Form 1008 unless directed to do so by the Commission.

IMPORTANT: As of March 15, 2017 the online Temporary Principal Employee License application, the Principal Employee License Application and the Principal Employee Renewal License Application are now included and operational in the ‘e-Licensing’ system; the Commission will no longer accept paper applications for any of these Principal Employee related licenses. If you have not previously utilized MLGCA’s ‘e-Licensing’ system, please contact MLGCA’s Licensing Division for guidance.

- C.3 **Gaming Employee License Application (Form -2001)** - An individual who is a Principal Employee of a (**Tier 2**) company, to include each Director, Partner, Officer, Trustee or Owner, applying to become a Gaming Contractor who provides a service that is essential to the operation of a facility service, but has no contact with or access to a:
- a. Central operating system;
 - b. Facility’s video lottery system;
 - c. Video lottery terminal; or
 - g. Table game, **must:**
 - I. Be licensed by the Commission as a Gaming Employee; or
 - II. Submit a Gaming Employee License Application, Form 2001, via MLGCA’s ‘eLicensing’ system, if the Director, Partner, Officer, Trustee or Owner has not previously been licensed by the Commission; or

- III. Submit a Gaming Employee License Renewal Application, Form 4001, via MLGCA’s ‘eLicensing’ system, if the Director, Partner, Officer, Trustee or Owner’s Principal Employee License is within 150 days of expiration; unless
- IV. The individual believes he or she is eligible for a waiver of a licensing requirement. An individual who submits the Principal Employee waiver form (Form 1007) does not need to complete Form 2001 or a Form 4001 unless directed to do so by the Commission.

IMPORTANT: The Commission **will not accept the paper version** of the Gaming Employee License Application. If it is determined that an individual listed by the Applicant is required to submit a Gaming Employee License Application, the point-of-contact listed in **E.5** will be required to contact the MLGCA’s Casino Licensing and Background Investigation Division and request ‘e-Licensing System’ log-on and account access.

- C.4 **Principal Entity Disclosure Form (Form-1006)** – Controlling shareholders, interest of current and former partners (if partnership, LLP, limited partnership).
- C.5 **Principal Employee Waiver Form (Form-1007)** – For an individual who is a principal or key employee requesting a waiver of a licensing requirement.

SECTION D - DEFINITIONS

Please refer to the list of Consolidated Definitions applicable to all video lottery license applications, which is available on the website of the Maryland Lottery and Gaming Control Agency’s website: <http://gaming.mdlottery.com/licensing/>.

SECTION E - APPLICANT INFORMATION

E.1	NAME OF APPLICANT *
* As it is written on the Articles of Incorporation, By-Laws, Charter, partnership agreement or other official documents filed with a State or Federal Government.	
D / B / A or Trade Name(s):	
E.2	CONTRACTOR BUSINESS
Describe the type of product and/or service(s) provided:	
E.3	LICENSEE ASSOCIATION
Name the Licensee with whom you have an agreement:	
E.4	APPLICANT’S FORM OF ORGANIZATION
Check one:	
<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> C-Corporation <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> S-Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Other (Describe) _____	

E.5 POINT-OF-CONTACT FOR APPLICANT *

Name		Title / Position within the company	
Email address	Office telephone number	Fax number	
	Cell number		

* If it is determined that an individual listed by the Applicant is required to submit a Gaming Employee License Application, the point-of-contact listed above will be required to contact the MLGCA’s Casino Licensing and Background Investigation Division for ‘e-Licensing System’ log-on and account access.

E.6 APPLICANT’S PRINCIPAL ADDRESS

Address Line 1 (Street Location)		
Address Line 2		
City	State	Zip code
Country	Telephone Number ()	Fax Number ()
Mailing Address – if different from above		
Address Line 1		
Address Line 2		
City	State	Zip code
Country	Telephone Number ()	Fax Number ()
Web Site Address(es)		

E.7 INCORPORATION
(If a Sole Proprietorship, provide an answer to the appropriate questions)

(a) APPLICANT’S INCORPORATION DOCUMENTS

1) Business name as it appears on formation documents:

2) Place of Incorporation or other type of Formation:

3) Date of Formation: _____

(b) INCORPORATORS / FOUNDERS

Use **Exhibit 1** to provide the Applicant's Incorporators/Founders. (**Note:** If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

(c) MARYLAND SDAT COMPLIANCE

1) Is the Applicant registered to do business in Maryland: Yes No

2) If "Yes", please provide registration number: _____

IMPORTANT:

Submit a *.pdf* of the Applicant's 'Good Standing' status from the Maryland Department of Assessments and Taxation (MD SDAT). The exhibit should be submitted as described in **A.12** and **A.13** and labeled as "**Certificate of Good Standing**". For further information, see **B.9**.

(d) OTHER NAMES OF CORPORATION

Use **Exhibit 2** to list all other names in which the Applicant has done business and give the approximate time periods during which these names were being used. (**Note:** If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

(e) ADDRESSES OF APPLICANT

Use **Exhibit 3** to provide all the current addresses of the Applicant and all current addresses from which the Applicant is doing business. (**Note:** If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

(f) APPLICANT'S BUSINESS BACKGROUND

Use **Exhibit 4** to provide a detailed description of the Applicant's business, type(s) of service and/or goods the Applicant anticipates providing to the video lottery licensee and the name(s) of the facility to which the Applicant's goods and/or services are to be provided.

(g) APPLICANT'S CONTRACT OR WRITTEN AGREEMENT WITH A FACILITY

Use **Exhibit 5** to provide details of the Contract or Written Agreement that the Applicant has enacted with the video lottery licensee. Submit a copy of the Contract or Agreement as described in **A.12** and **A.13**.

(h) APPLICANT SUBSIDIARIES

Use **Exhibit 6** to provide details of each company in which the Applicant has an ownership interest. Submit an organizational chart as described in **A.12** and **A.13**.

(i) LICENSES

Has the Applicant, or any affiliate, intermediary, subsidiary or holding company ever **applied** in any jurisdiction, including but not limited to, any federal, state, local or Native American governments for a license, permit or other authorization to participate in lawful gambling operations (including slot machines, video lottery terminals, table gaming, horse racing, dog racing, pari-mutuel operation etc.)?

Yes No

Has the Applicant, or any affiliate, intermediary, subsidiary or holding company ever had any license application, license, permit or other authorization issued by a government agency in this state or any other jurisdiction denied, suspended or revoked in last five year period?

Yes No

If “Yes”, to either question, use **Exhibit 7** to provide information for each license application, license, permit, or other authorization. If “No”, write “None” in the first row on **Exhibit 7**.

(j) EMPLOYEES CONDUCTING BUSINESS WITH LICENSEE

Use **Exhibit 8** to provide details of any Applicant, or any employee of an Applicant, who:

- a) Entered into an agreement with, or will deal directly with, a Maryland licensed casino (e.g. technicians and sales representatives); and
- b) The immediate supervisor of such individuals; and
- c) The immediate supervisor’s supervisor.

An Applicant applying for renewal of a **Tier 1** Contractor license **must**:

- a) Submit a completed and notarized Release Authorization, **Exhibit 18**, for each individual listed on **Exhibit 8**;
- b) Fulfill licensing requirements as outlined in **C.2** for each individual listed as an immediate supervisor or an immediate supervisor’s supervisor on **Exhibit 8**; and
- c) Fulfill licensing requirements as outlined in **C.3** for each individual listed on **Exhibit 8** who has entered into an agreement with, or will deal directly with, a Maryland licensed casino.

An Applicant applying for renewal of a **Tier 2** Contractor license **must**:

- a) Submit completed and notarized Release Authorization, **Exhibit 18**, for each individual listed on **Exhibit 8**; and
- b) Fulfill licensing requirements as outlined in **C.3** for each individual listed on **Exhibit 8**.

(k) CURRENT DIRECTORS, PARTNERS, OFFICERS AND TRUSTEES

Use **Exhibit 9** to provide information for each Director, Partner, Officer and Trustee of the Applicant. Include any Grantors or Beneficiaries of a Trust who is required to be licensed as a Principal as defined in this application.

IMPORTANT:

- a. As part of this application each Director, Partner, Officer and Trustee of an Applicant applying to become a Gaming Contractor of a video lottery terminal or table game device; a central monitor and control system; associated equipment and software; or a distributor or reseller of a video lottery terminal, a table game device, a central monitor and control system, or associated equipment and software, (**Tier 1**), **must** fulfill licensing requirements as outlined in **C.2**.
- b. As part of this application, each Director, Partner, Officer and Trustee of an Applicant applying to become a Gaming Contractor of a product that is related to video lottery terminals, table games or associated equipment and software that is intended for sale, lease, or other assignment to a licensee; does not have the ability to impact the integrity of a game; and is not essential to table game play, (**Tier 2**), **must** fulfill licensing requirements as outlined in **C.3**.

(l) FORMER (NO LONGER ACTIVE) DIRECTORS, PARTNERS, OFFICERS AND TRUSTEES

Use **Exhibit 10** to provide information for all officers, directors, partners and trustees who are no longer actively involved with Applicant but who held such a position during the last five (5) years.

(m) OWNERS

Use **Exhibit 11** to provide information for each individual or person who directly or indirectly owns more than five (5) percent of the Applicant or its business. For publicly traded companies, only provide information for each individual or person who directly owns more than five (5) percent of the Applicant or its business.

IMPORTANT:

- a. As part of this application, each owner of an Applicant applying to become a (**Tier 1**) Gaming Contractor of a video lottery terminal or table game device; a central monitor and control system; associated equipment and software; or a distributor or reseller of a video lottery terminal, a table game device, a central monitor and control system, or associated equipment and software, **must** fulfill licensing requirements as outlined in **C.2**.
- b. As part of this application, each owner of an Applicant applying to become a (**Tier 2**) Gaming Contractor of a product that is related to video lottery terminals, table games or associated equipment and software that is intended for sale, lease, or other assignment to a licensee; does not have the ability to impact the integrity of a game; and is not essential to table game play, **must** fulfill licensing requirements as outlined in **C.3**.

(n) BANKRUPTCY OR INSOLVENCY PROCEEDINGS

Use **Exhibit 12** to provide information for bankruptcy or insolvency proceeding involving the Applicant or any individual or person listed in **Exhibit 8, Exhibit 9, Exhibit 10** or **Exhibit 11**.

(o) CRIMINAL HISTORY (Directors, Partners, Officers, Trustees and Owners)**IMPORTANT:**

The Commission *will make inquiries* to establish whether the individuals and subsidiaries listed in this application have had any involvement with law enforcement agencies. Failure to disclose any such involvement will be taken into account when assessing the Applicant's character, honesty and integrity.

This section asks about any arrests, offenses or charges against the Applicant or any of its subsidiaries or any of its directors, partners, officers, trustees or owners.

Prior to answering this question, carefully review the **DEFINITIONS** and **INSTRUCTIONS**.

DEFINITIONS – For purposes of this section ONLY:

- 1) **ARREST**: includes any time that you were stopped by any law enforcement officer and advised that you were under arrest, detained, held for questioning or were requested by a law enforcement officer to come to a law enforcement office or facility and answer questions. "Arrest" also includes any circumstances in which you were taken into custody by any law enforcement officer, fingerprinted, detained in any jail or detention center, or otherwise been the subject of a court order to appear in a judicial proceeding in which you were accused of a crime or offense as defined in subsection C.
- 2) **CHARGE**: includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense."
- 3) **OFFENSE**: includes all felonies, misdemeanors, gambling offenses and summary offenses that may have required you to appear before any municipal, state, or federal grand jury, court, or any other judicial tribunal except juvenile court. "Offense" also includes all driving-related charges or offenses which carry any period of incarceration.

INSTRUCTIONS for question below

- 1) **Answer "Yes"** and provide *all* information to the best of your ability **EVEN IF**:
 - A. You did not commit the offense charged;
 - B. The charges were dismissed or downgraded to a lesser charge;
 - C. You completed a pretrial intervention or other rehabilitation or diversionary program;
 - D. You were not convicted;
 - E. You did not serve any time in a correctional facility;
 - F. The charges or offenses happened a long time ago; or
 - G. You were not arrested for the charge.
- 1) **Answer "No"** if:
 - A. You have never been charged with or arrested for any crime or offense;
 - B. You were arrested or charged when you were under eighteen (18) years of age and your arrest or charge, including any sentence that a court imposed, was adjudicated entirely in juvenile court;
 - C. The records of the charge or arrest have been expunged pursuant to an order of court or otherwise sealed by a court of competent jurisdiction.

* **Question:**

During the past five (5) years, has the Applicant; or
 Have any of the Applicant's subsidiaries; or
 Have any of the Principal Employees, (Directors, Partners, Officers, Trustees, Owners) been indicted, arrested, charged with, or convicted of, a criminal offense or been a party to or named as an unindicted conspirator in any criminal proceeding in this state or any other jurisdiction?

Yes No

If "Yes", use **Exhibit 13** to provide information concerning criminal history during the past five (5) years.

(p) TESTIMONY, INVESTIGATIONS OR POLYGRAPHS

During the past five (5) years, has the Applicant or any of its Principal Employees, (Directors, Partners, Officers, Trustees, Owners) been called to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, federal, national, etc.) other than in response to minor traffic-related offenses?

Yes No

If "Yes", use **Exhibit 14** to provide information concerning testimony, investigations or polygraphs.

(q) LITIGATION

Use **Exhibit 15** to describe all existing civil litigation or any settled or closed legal action over the past five (5) years to which the Applicant, its parent, affiliate, holding or any subsidiary is or was a party in any jurisdiction. This description must include the title and docket number of the litigation, the name and location of the court before which it is pending, the identity of all parties to the litigation, the general nature of all claims being made and the nature of any judgments. List the most recent litigation first.

(r) ANTITRUST, TRADE REGULATION & SECURITY JUDGEMENTS, STATUTORY AND REGULATORY VIOLATIONS

During the past five (5) years, has the Applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies ever had a judgment, order, consent decree or consent order pertaining to a violation or alleged violation of the federal antitrust, trade regulation or securities laws, or similar laws of any state, province or country entered against it?

Yes No

During the past five (5) years, has the Applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies been the subject of a judgment, order, consent decree or consent order pertaining to any state or federal statute, regulation or code that resulted in a fine of \$25,000 or more?

Yes No

If "Yes", to either question, use **Exhibit 16** to provide information.

(s) APPLICANT'S FINANCIAL STATEMENTS

Submit the **two** most recent year's financial statements for the Applicant, specifically 'Balance Sheets' and 'Profit and Loss Statements', in the manner described in **A.12** and **A.13**.

The files must be submitted as **separate** .pdf files, and should be labeled as:

Exhibit 22a (Balance Sheet #1);

Exhibit 22b (Balance Sheet #2);

Exhibit 22c (Profit and Loss Statement #1); and

Exhibit 22d (Profit and Loss Statement #2).

During the investigation to determine the Applicant's financial stability, the Commission may require that additional financial documentation be submitted.

(t) REQUEST FOR TRANSCRIPTS OF FEDERAL TAX RETURNS

The IRS Form 4506-T is required to be executed so that the Commission will be authorized to obtain independent verification of the Applicant's filing of required federal tax returns.

The Applicant must submit 2 (two) original IRS Form 4506-T forms with the application packet. (During the course of the investigation, the Commission may need to request up to five years of returns, but the Form 4506-T only provides space to request four years, which creates a need for a second form to be completed and submitted).

Since the IRS updates the language on the Form 4506-T periodically, please check the upper left corner and determine if the revision date is current. If the enclosed form is no longer the most current, the Applicant is asked to retrieve an up-to-date version from the IRS website:

<https://www.irs.gov/Forms-&-Pubs>

On both copies of the Form 4506-T, the Applicant must complete lines 1 through 4; check the "Signatory declaration" block; sign the form; date the form; and enter a telephone number corresponding to line 1a.

Since the Casino Licensing and Background Investigation Division will need to determine which tax returns will be necessary to complete the financial stability inquiries, the Applicant is requested to leave lines 6 through 9 blank. It is recommended that the Applicant contact the Licensing Division if the Applicant has questions or concerns regarding the cautionary notes above line 6 and below line 9.

Request for Transcript of Tax Return

OMB No. 1545-0047

- ▶ Do not sign this form unless all applicable lines have been completed.
- ▶ Request may be rejected if the form is incomplete or illegible.
- ▶ For more information about Form 4506-T, visit www.irs.gov/form4506t.

Tip. Use Form 4506-T to order a transcript or other return information free of charge. See the product list below. You can quickly request transcripts by using our automated self-help Service Tools. Please visit us at IRS.gov and click on "Get a Tax Transcript." - under "Tools" or call 1-800-829-1040. If you need a copy of your return, use Form 4506, Request for Copy of Tax Return. There is a fee to get a copy of your return.

1a Name shown on tax return. If a joint return, enter the name shown first.	1b First social security number on tax return, individual taxpayer identification number, or employer identification number (see instructions).
2a If a joint return, enter spouse's name shown on tax return.	2b Second social security number or individual taxpayer identification number if joint return.

3 a. Current name and address (including apartment, room, or suite number), city, state, and ZIP code, (see instructions)

4. Previous address shown on the last return filed if different from line 3 (see instructions)

5. If the transcript or tax information is to be mailed to a third party (such as a mortgage company), enter the third party's name, address, and telephone number.

Mariano Lopez & Gaming, Llc, 1800 Wastin Blvd., 9th Floor, MD 21230 (410) 230-5181

3. If the tax transcript is being mailed to a third party, ensure that you have filed in lines 6 through Q before signing. Sign and date the form once you have filled in the lines. Complete the signature lines to protect your privacy. Once the IRS discloses your tax transcript to the third party listed on line 5, the IRS has no control over the third party's use of the information. If you would like to limit the third party's authority to disclose your tax transcript information, you can specify this limitation in your written agreement with the third party.

6. **Transcript requested.** Enter the tax form number here (1040, 1041, 1042, etc.) and check the appropriate box below. Enter only the tax form number per request.
- a. **Return transcript**, which includes most of the items of a tax return as filed with the IRS. A tax return transcript does not reflect changes as a result of an amended return. Transcripts are only available for the following returns: Form 1040 series, Form 1065, Form 1120, Form 1120-A, Form 1120-H, Form 1120-S, Form 1120-SS, Form 1120-ES, Form 1120-INT, Form 1120-REMIC, Form 1120-TRUST, Form 1120-C, Form 1120-C-E, Form 1120-C-F, Form 1120-C-G, Form 1120-C-H, Form 1120-C-I, Form 1120-C-J, Form 1120-C-K, Form 1120-C-L, Form 1120-C-M, Form 1120-C-N, Form 1120-C-O, Form 1120-C-P, Form 1120-C-Q, Form 1120-C-R, Form 1120-C-S, Form 1120-C-T, Form 1120-C-U, Form 1120-C-V, Form 1120-C-W, Form 1120-C-X, Form 1120-C-Y, Form 1120-C-Z, Form 1120-C-AA, Form 1120-C-AB, Form 1120-C-AC, Form 1120-C-AD, Form 1120-C-AE, Form 1120-C-AF, Form 1120-C-AG, Form 1120-C-AH, Form 1120-C-AI, Form 1120-C-AJ, Form 1120-C-AL, Form 1120-C-AM, Form 1120-C-AN, Form 1120-C-AO, Form 1120-C-AP, Form 1120-C-AQ, Form 1120-C-AR, Form 1120-C-AS, Form 1120-C-AT, Form 1120-C-AU, Form 1120-C-AV, Form 1120-C-AW, Form 1120-C-AX, Form 1120-C-AY, Form 1120-C-AZ, Form 1120-C-BA, Form 1120-C-BB, Form 1120-C-BC, Form 1120-C-BD, Form 1120-C-BE, Form 1120-C-BF, Form 1120-C-BG, Form 1120-C-BH, Form 1120-C-BI, Form 1120-C-BJ, Form 1120-C-BL, Form 1120-C-BM, Form 1120-C-BN, Form 1120-C-BO, Form 1120-C-BP, Form 1120-C-BQ, Form 1120-C-BR, Form 1120-C-BS, Form 1120-C-BT, Form 1120-C-BU, Form 1120-C-BV, Form 1120-C-BW, Form 1120-C-BX, Form 1120-C-BY, Form 1120-C-BZ, Form 1120-C-CA, Form 1120-C-CB, Form 1120-C-CC, Form 1120-C-CD, Form 1120-C-CE, Form 1120-C-CF, Form 1120-C-CG, Form 1120-C-CH, Form 1120-C-CI, Form 1120-C-CJ, Form 1120-C-CL, Form 1120-C-CM, Form 1120-C-CN, Form 1120-C-CO, Form 1120-C-CP, Form 1120-C-CQ, Form 1120-C-CR, Form 1120-C-CS, Form 1120-C-CT, Form 1120-C-CU, Form 1120-C-CV, Form 1120-C-CW, Form 1120-C-CX, Form 1120-C-CY, Form 1120-C-CZ, Form 1120-C-DA, Form 1120-C-DB, Form 1120-C-DC, Form 1120-C-DD, Form 1120-C-DE, Form 1120-C-DF, Form 1120-C-DG, Form 1120-C-DH, Form 1120-C-DI, Form 1120-C-DJ, Form 1120-C-DM, Form 1120-C-DN, Form 1120-C-DO, Form 1120-C-DP, Form 1120-C-DQ, Form 1120-C-DR, Form 1120-C-DS, Form 1120-C-DT, Form 1120-C-DU, Form 1120-C-DV, Form 1120-C-DW, Form 1120-C-DX, Form 1120-C-DY, Form 1120-C-DZ, Form 1120-C-EA, Form 1120-C-EB, Form 1120-C-EC, Form 1120-C-ED, Form 1120-C-EE, Form 1120-C-EF, Form 1120-C-EG, Form 1120-C-EH, Form 1120-C-EI, Form 1120-C-EJ, Form 1120-C-EL, Form 1120-C-EM, Form 1120-C-EN, Form 1120-C-EO, Form 1120-C-EP, Form 1120-C-EQ, Form 1120-C-ER, Form 1120-C-ES, Form 1120-C-ET, Form 1120-C-EU, Form 1120-C-EV, Form 1120-C-EW, Form 1120-C-EX, Form 1120-C-EY, Form 1120-C-EZ, Form 1120-C-FA, Form 1120-C-FB, Form 1120-C-FC, Form 1120-C-FD, Form 1120-C-FE, Form 1120-C-FF, Form 1120-C-FG, Form 1120-C-FH, Form 1120-C-FI, Form 1120-C-FJ, Form 1120-C-FL, Form 1120-C-FM, Form 1120-C-FN, Form 1120-C-FO, Form 1120-C-FP, Form 1120-C-FQ, Form 1120-C-FR, Form 1120-C-FS, Form 1120-C-FT, Form 1120-C-FU, Form 1120-C-FV, Form 1120-C-FW, Form 1120-C-FX, Form 1120-C-FY, Form 1120-C-FZ, Form 1120-C-GA, Form 1120-C-GB, Form 1120-C-GC, Form 1120-C-GD, Form 1120-C-GE, Form 1120-C-GF, Form 1120-C-GG, Form 1120-C-GH, Form 1120-C-GI, Form 1120-C-GJ, Form 1120-C-GL, Form 1120-C-GM, Form 1120-C-GN, Form 1120-C-GO, Form 1120-C-GP, Form 1120-C-GQ, Form 1120-C-GR, Form 1120-C-GS, Form 1120-C-GT, Form 1120-C-GU, Form 1120-C-GV, Form 1120-C-GW, Form 1120-C-GX, Form 1120-C-GY, Form 1120-C-GZ, Form 1120-C-HA, Form 1120-C-HB, Form 1120-C-HC, Form 1120-C-HD, Form 1120-C-HE, Form 1120-C-HF, Form 1120-C-HG, Form 1120-C-HH, Form 1120-C-HI, Form 1120-C-HJ, Form 1120-C-HL, Form 1120-C-HM, Form 1120-C-HN, Form 1120-C-HO, Form 1120-C-HP, Form 1120-C-HQ, Form 1120-C-HR, Form 1120-C-HS, Form 1120-C-HT, Form 1120-C-HU, Form 1120-C-HV, Form 1120-C-HW, Form 1120-C-HX, Form 1120-C-HY, Form 1120-C-HZ, Form 1120-C-IA, Form 1120-C-IB, Form 1120-C-IC, Form 1120-C-ID, Form 1120-C-IE, Form 1120-C-IF, Form 1120-C-IG, Form 1120-C-IH, Form 1120-C-II, Form 1120-C-IJ, Form 1120-C-IL, Form 1120-C-IM, Form 1120-C-IN, Form 1120-C-IO, Form 1120-C-IP, Form 1120-C-IQ, Form 1120-C-IR, Form 1120-C-IS, Form 1120-C-IT, Form 1120-C-IU, Form 1120-C-IV, Form 1120-C-IW, Form 1120-C-IX, Form 1120-C-IY, Form 1120-C-IZ, Form 1120-C-JA, Form 1120-C-JB, Form 1120-C-JC, Form 1120-C-JD, Form 1120-C-JE, Form 1120-C-JF, Form 1120-C-JG, Form 1120-C-JH, Form 1120-C-JI, Form 1120-C-JJ, Form 1120-C-JL, Form 1120-C-JM, Form 1120-C-JN, Form 1120-C-JO, Form 1120-C-JP, Form 1120-C-JQ, Form 1120-C-JR, Form 1120-C-JS, Form 1120-C-JT, Form 1120-C-JU, Form 1120-C-JV, Form 1120-C-JW, Form 1120-C-JX, Form 1120-C-JY, Form 1120-C-JZ, Form 1120-C-KA, Form 1120-C-KB, Form 1120-C-KC, Form 1120-C-KD, Form 1120-C-KE, Form 1120-C-KF, Form 1120-C-KG, Form 1120-C-KH, Form 1120-C-KI, Form 1120-C-KJ, Form 1120-C-KL, Form 1120-C-KM, Form 1120-C-KN, Form 1120-C-KO, Form 1120-C-KP, Form 1120-C-KQ, Form 1120-C-KR, Form 1120-C-KS, Form 1120-C-KT, Form 1120-C-KU, Form 1120-C-KV, Form 1120-C-KW, Form 1120-C-KX, Form 1120-C-KY, Form 1120-C-KZ, Form 1120-C-LA, Form 1120-C-LB, Form 1120-C-LC, Form 1120-C-LD, Form 1120-C-LE, Form 1120-C-LF, Form 1120-C-LG, Form 1120-C-LH, Form 1120-C-LI, Form 1120-C-LJ, Form 1120-C-LL, Form 1120-C-LM, Form 1120-C-LN, Form 1120-C-LO, Form 1120-C-LP, Form 1120-C-LQ, Form 1120-C-LR, Form 1120-C-LS, Form 1120-C-LT, Form 1120-C-LU, Form 1120-C-LV, Form 1120-C-LW, Form 1120-C-LX, Form 1120-C-LY, Form 1120-C-LZ, Form 1120-C-MA, Form 1120-C-MB, Form 1120-C-MC, Form 1120-C-MD, Form 1120-C-ME, Form 1120-C-MF, Form 1120-C-MG, Form 1120-C-MH, Form 1120-C-MI, Form 1120-C-MJ, Form 1120-C-ML, Form 1120-C-MM, Form 1120-C-MN, Form 1120-C-MO, Form 1120-C-MP, Form 1120-C-MQ, Form 1120-C-MR, Form 1120-C-MS, Form 1120-C-MT, Form 1120-C-MU, Form 1120-C-MV, Form 1120-C-MW, Form 1120-C-MX, Form 1120-C-MY, Form 1120-C-MZ, Form 1120-C-NA, Form 1120-C-NB, Form 1120-C-NC, Form 1120-C-ND, Form 1120-C-NE, Form 1120-C-NF, Form 1120-C-NG, Form 1120-C-NH, Form 1120-C-NI, Form 1120-C-NJ, Form 1120-C-NL, Form 1120-C-NM, Form 1120-C-NN, Form 1120-C-NO, Form 1120-C-NP, Form 1120-C-NQ, Form 1120-C-NR, Form 1120-C-NS, Form 1120-C-NT, Form 1120-C-NU, Form 1120-C-NV, Form 1120-C-NW, Form 1120-C-NX, Form 1120-C-NY, Form 1120-C-NZ, Form 1120-C-OA, Form 1120-C-OB, Form 1120-C-OC, Form 1120-C-OD, Form 1120-C-OE, Form 1120-C-OF, Form 1120-C-OG, Form 1120-C-OH, Form 1120-C-OI, Form 1120-C-OJ, Form 1120-C-OL, Form 1120-C-OM, Form 1120-C-ON, Form 1120-C-OO, Form 1120-C-OP, Form 1120-C-OQ, Form 1120-C-OR, Form 1120-C-OS, Form 1120-C-OT, Form 1120-C-OU, Form 1120-C-OV, Form 1120-C-OW, Form 1120-C-OX, Form 1120-C-OY, Form 1120-C-OZ, Form 1120-C-PA, Form 1120-C-PB, Form 1120-C-PC, Form 1120-C-PD, Form 1120-C-PE, Form 1120-C-PF, Form 1120-C-PG, Form 1120-C-PH, Form 1120-C-PI, Form 1120-C-PJ, Form 1120-C-PL, Form 1120-C-PM, Form 1120-C-PN, Form 1120-C-PO, Form 1120-C-PP, Form 1120-C-PQ, Form 1120-C-PR, Form 1120-C-PS, Form 1120-C-PT, Form 1120-C-PU, Form 1120-C-PV, Form 1120-C-PW, Form 1120-C-PX, Form 1120-C-PY, Form 1120-C-PZ, Form 1120-C-QA, Form 1120-C-QB, Form 1120-C-QC, Form 1120-C-QD, Form 1120-C-QE, Form 1120-C-QF, Form 1120-C-QG, Form 1120-C-QH, Form 1120-C-QI, Form 1120-C-QJ, Form 1120-C-QL, Form 1120-C-QM, Form 1120-C-QN, Form 1120-C-QO, Form 1120-C-QP, Form 1120-C-QL, Form 1120-C-QM, Form 1120-C-QN, Form 1120-C-QO, Form 1120-C-QP, Form 1120-C-QR, Form 1120-C-QS, Form 1120-C-QU, Form 1120-C-QV, Form 1120-C-QW, Form 1120-C-QX, Form 1120-C-QY, Form 1120-C-QZ, Form 1120-C-RA, Form 1120-C-RB, Form 1120-C-RC, Form 1120-C-RD, Form 1120-C-RE, Form 1120-C-RF, Form 1120-C-RG, Form 1120-C-RH, Form 1120-C-RI, Form 1120-C-RJ, Form 1120-C-RL, Form 1120-C-RM, Form 1120-C-RN, Form 1120-C-RO, Form 1120-C-RP, Form 1120-C-RQ, Form 1120-C-RR, Form 1120-C-RS, Form 1120-C-RT, Form 1120-C-RU, Form 1120-C-RV, Form 1120-C-RW, Form 1120-C-RX, Form 1120-C-RY, Form 1120-C-RZ, Form 1120-C-SA, Form 1120-C-SB, Form 1120-C-SC, Form 1120-C-SD, Form 1120-C-SE, Form 1120-C-SF, Form 1120-C-SG, Form 1120-C-SH, Form 1120-C-SI, Form 1120-C-SJ, Form 1120-C-SL, Form 1120-C-SM, Form 1120-C-SN, Form 1120-C-SO, Form 1120-C-SP, Form 1120-C-SQ, Form 1120-C-SR, Form 1120-C-SS, Form 1120-C-ST, Form 1120-C-SU, Form 1120-C-SV, Form 1120-C-SW, Form 1120-C-SX, Form 1120-C-SY, Form 1120-C-SZ, Form 1120-C-TA, Form 1120-C-TB, Form 1120-C-TC, Form 1120-C-TD, Form 1120-C-TE, Form 1120-C-TF, Form 1120-C-TG, Form 1120-C-TH, Form 1120-C-TI, Form 1120-C-TJ, Form 1120-C-TL, Form 1120-C-TM, Form 1120-C-TN, Form 1120-C-TO, Form 1120-C-TP, Form 1120-C-TQ, Form 1120-C-TR, Form 1120-C-TS, Form 1120-C-TT, Form 1120-C-TU, Form 1120-C-TV, Form 1120-C-TW, Form 1120-C-TX, Form 1120-C-TY, Form 1120-C-TZ, Form 1120-C-UA, Form 1120-C-UB, Form 1120-C-UC, Form 1120-C-UD, Form 1120-C-UE, Form 1120-C-UF, Form 1120-C-UG, Form 1120-C-UH, Form 1120-C-UI, Form 1120-C-UJ, Form 1120-C-UL, Form 1120-C-UM, Form 1120-C-UN, Form 1120-C-UO, Form 1120-C-UP, Form 1120-C-UQ, Form 1120-C-UR, Form 1120-C-US, Form 1120-C-UT, Form 1120-C-UY, Form 1120-C-UZ, Form 1120-C-VA, Form 1120-C-VB, Form 1120-C-VC, Form 1120-C-VD, Form 1120-C-VE, Form 1120-C-VF, Form 1120-C-VG, Form 1120-C-VH, Form 1120-C-VI, Form 1120-C-VJ, Form 1120-C-VL, Form 1120-C-VM, Form 1120-C-VN, Form 1120-C-VO, Form 1120-C-VP, Form 1120-C-VQ, Form 1120-C-VR, Form 1120-C-VS, Form 1120-C-VT, Form 1120-C-VU, Form 1120-C-VV, Form 1120-C-VW, Form 1120-C-VX, Form 1120-C-VY, Form 1120-C-VZ, Form 1120-C-WA, Form 1120-C-WB, Form 1120-C-WC, Form 1120-C-WD, Form 1120-C-WE, Form 1120-C-WF, Form 1120-C-WG, Form 1120-C-WH, Form 1120-C-WI, Form 1120-C-WJ, Form 1120-C-WL, Form 1120-C-WM, Form 1120-C-WN, Form 1120-C-WO, Form 1120-C-WP, Form 1120-C-WQ, Form 1120-C-WR, Form 1120-C-WS, Form 1120-C-WT, Form 1120-C-WU, Form 1120-C-WV, Form 1120-C-WW, Form 1120-C-WX, Form 1120-C-WY, Form 1120-C-WZ, Form 1120-C-XA, Form 1120-C-XB, Form 1120-C-XC, Form 1120-C-XD, Form 1120-C-XE, Form 1120-C-XF, Form 1120-C-XG, Form 1120-C-XH, Form 1120-C-XI, Form 1120-C-XJ, Form 1120-C-XL, Form 1120-C-XM, Form 1120-C-XN, Form 1120-C-XO, Form 1120-C-XP, Form 1120-C-XQ, Form 1120-C-XR, Form 1120-C-XS, Form 1120-C-XT, Form 1120-C-XU, Form 1120-C-XV, Form 1120-C-XW, Form 1120-C-XX, Form 1120-C-XY, Form 1120-C-XZ, Form 1120-C-YA, Form 1120-C-YB, Form 1120-C-YC, Form 1120-C-YD, Form 1120-C-YE, Form 1120-C-YF, Form 1120-C-YG, Form 1120-C-YH, Form 1120-C-YI, Form 1120-C-YJ, Form 1120-C-YL, Form 1120-C-YM, Form 1120-C-YN, Form 1120-C-YO, Form 1120-C-YP, Form 1120-C-YQ, Form 1120-C-YR, Form 1120-C-YS, Form 1120-C-YT, Form 1120-C-YU, Form 1120-C-YV, Form 1120-C-YW, Form 1120-C-YX, Form 1120-C-YY, Form 1120-C-YZ, Form 1120-C-ZA, Form 1120-C-ZB, Form 1120-C-ZC, Form 1120-C-ZD, Form 1120-C-ZE, Form 1120-C-ZF, Form 1120-C-ZG, Form 1120-C-ZH, Form 1120-C-ZI, Form 1120-C-ZJ, Form 1120-C-ZL, Form 1120-C-ZM, Form 1120-C-ZN, Form 1120-C-ZO, Form 1120-C-ZP, Form 1120-C-ZQ, Form 1120-C-ZR, Form 1120-C-ZS, Form 1120-C-ZT, Form 1120-C-ZU, Form 1120-C-ZV, Form 1120-C-ZW, Form 1120-C-ZX, Form 1120-C-ZY, Form 1120-C-ZZ.
 - b. **Account transcript**, which contains information on the financial status in 119 accounts. Includes as payments made on file account, payroll assessments, and adjustments made by you or the IRS after the return was filed. Return information is available for the current year and returns processed during the prior 3 processing years. Most requests will be processed within 10 business days.
 - c. **Record of Account**, which provides the most detailed information as to the combination of the Return Transcript and the Account Transcript. Available for the prior 3 prior tax years. Most requests will be processed within 10 business days.
7. **Verification of Nonfiling**, which is proof that the IRS that you did not file a return for the year. Current year requests are only available for the prior 15 years. There are no availability restrictions for prior year requests. Most requests will be processed within 10 business days.
8. **Form W-2, Form 1099 series, Form 1042-S, Form 1043, Form 1044, Form 1045, Form 1046, Form 1047, Form 1048, Form 1049, Form 1050, Form 1051, Form 1052, Form 1053, Form 1054, Form 1055, Form 1056, Form 1057, Form 1058, Form 1059, Form 1060, Form 1061, Form 1062, Form 1063, Form 1064, Form 1065, Form 1066, Form 1067, Form 1068, Form 1069, Form 1070, Form 1071, Form 1072, Form 1073, Form 1074, Form 1075, Form 1076, Form 1077, Form 1078, Form 1079, Form 1080, Form 1081, Form 1082, Form 1083, Form 1084, Form 1085, Form 1086, Form 1087, Form 1088, Form 1089, Form 1090, Form 1091, Form 1092, Form 1093, Form 1094, Form 1095, Form 1096, Form 1097, Form 1098, Form 1099, Form 1100, Form 1101, Form 1102, Form 1103, Form 1104, Form 1105, Form 1106, Form 1107, Form 1108, Form 1109, Form 1110, Form 1111, Form 1112, Form 1113, Form 1114, Form 1115, Form 1116, Form 1117, Form 1118, Form 1119, Form 1120, Form 1121, Form 1122, Form 1123, Form 1124, Form 1125, Form 1126, Form 1127, Form 1128, Form 1129, Form 1130, Form 1131, Form 1132, Form 1133, Form 1134, Form 1135, Form 1136, Form 1137, Form 1138, Form 1139, Form 1140, Form 1141, Form 1142, Form 1143, Form 1144, Form 1145, Form 1146, Form 1147, Form 1148, Form 1149, Form 1150, Form 1151, Form 1152, Form 1153, Form 1154, Form 1155, Form 1156, Form 1157, Form 1158, Form 1159, Form 1160, Form 1161, Form 1162, Form 1163, Form 1164, Form 1165, Form 1166, Form 1167, Form 1168, Form 1169, Form 1170, Form 1171, Form 1172, Form 1173, Form 1174, Form 1175, Form 1176, Form 1177, Form 1178, Form 1179, Form 1180, Form 1181, Form 1182, Form 1183, Form 1184, Form 1185, Form 1186, Form 1187, Form 1188, Form 1189, Form 1190, Form 1191, Form 1192, Form 1193, Form 1194, Form 1195, Form 1196, Form 1197, Form 1198, Form 1199, Form 1200, Form 1201, Form 1202, Form 1203, Form 1204, Form 1205, Form 1206, Form 1207, Form 1208, Form 1209, Form 1210, Form 1211, Form 1212, Form 1213, Form 1214, Form 1215, Form 1216, Form 1217, Form 1218, Form 1219, Form 1220, Form 1221, Form 1222, Form 1223, Form 1224, Form 1225, Form 1226, Form 1227, Form 1228, Form 1229, Form 1230, Form 1231, Form 1232, Form 1233, Form 1234, Form 1235, Form 1236, Form 1237, Form 1238, Form 1239, Form 1240, Form 1241, Form 1242, Form 1243, Form 1244, Form 1245, Form 1246, Form 1247, Form 1248, Form 1249, Form 1250, Form 1251, Form 1252, Form 1253, Form 1254, Form 1255, Form 1256, Form 1257, Form 1258, Form 1259, Form 1260, Form 1261, Form 1262, Form 1263, Form 1264, Form 1265, Form 1266, Form 1267, Form 1268, Form 1269, Form 1270, Form 1271, Form 1272, Form 1273, Form 1274, Form 1275, Form 1276, Form 1277, Form 1278, Form 1279, Form 1280, Form 1281, Form 1282, Form 1283, Form 1284, Form 1285, Form 1286, Form 1287, Form 1288, Form 1289, Form 1290, Form 1291, Form 1292, Form 1293, Form 1294, Form 1295, Form 1296, Form 1297, Form 1298, Form 1299, Form 1300, Form 1301, Form 1302, Form 1303, Form 1304, Form 1305, Form 1306, Form 1307, Form 1308, Form 1309, Form 1310, Form 1311, Form 1312, Form 1313, Form 1314, Form 1315, Form 1316, Form 1317, Form 1318, Form 1319, Form 1320, Form 1321, Form 1322, Form 1323, Form 1324, Form 1325, Form 1326, Form 1327, Form 1328, Form 1329, Form 1330, Form 1331, Form 1332, Form 1333, Form 1334, Form 1335, Form 1336, Form 1337, Form 1338, Form 1339, Form 1340, Form 1341, Form 1342, Form 1343, Form 1344, Form 1345, Form 1346, Form 1347, Form 1348, Form 1349, Form 1350, Form 1351, Form 1352, Form 1353, Form 1354, Form 1355, Form 1356, Form 1357, Form 1358, Form 1359, Form 1360, Form 1361, Form 1362, Form 1363, Form 1364, Form 1365, Form 1366, Form 1367, Form 1368, Form 1369, Form 1370, Form 1371, Form 1372, Form 1373, Form 1374, Form 1375, Form 1376, Form 1377, Form 1378, Form 1379, Form 1380, Form 1381, Form 1382, Form 1383, Form 1384, Form 1385, Form 1386, Form 1387, Form 1388, Form 1389, Form 1390, Form 1391, Form 1392, Form 1393, Form 1394, Form 1395, Form 1396, Form 1397, Form 1398, Form 1399, Form 1400, Form 1401, Form 1402, Form 1403, Form 1404, Form 1405, Form 1406, Form 1407, Form 1408, Form 1409, Form 1410, Form 1411, Form 1412, Form 1413, Form 1414, Form 1415, Form 1416, Form 1417, Form 1418, Form 1419, Form 1420, Form 1421, Form 1422, Form 1423, Form 1424, Form 1425, Form 1426, Form 1427, Form 1428, Form 1429, Form 1430, Form 1431, Form 1432, Form 1433, Form 1434, Form 1435, Form 1436, Form 1437, Form 1438, Form 1439, Form 1440, Form 1441, Form 1442, Form 1443, Form 1444, Form 1445, Form 1446, Form 1447, Form 1448, Form 1449, Form 1450, Form 1451, Form 1452, Form 1453, Form 1454, Form 1455, Form 1456, Form 1457, Form 1458, Form 1459, Form 1460, Form 1461, Form 1462, Form 1463, Form 1464, Form 1465, Form 1466, Form 1467, Form 1468, Form 1469, Form 1470, Form 1471, Form 1472, Form 1473, Form 1474, Form 1475, Form 1476, Form 1477, Form 1478, Form 1479, Form 1480, Form 1481, Form 1482, Form 1483, Form 1484, Form 1485, Form 1486, Form 1487, Form 1488, Form 1489, Form 1490, Form 1491, Form 1492, Form 1493, Form 1494, Form 1495, Form 1496, Form 1497, Form 1498, Form 1499, Form 1500, Form 1501, Form 1502, Form 1503, Form 1504, Form 1505, Form 1506, Form 1507, Form 1508, Form 1509, Form 1510, Form 1511, Form 1512, Form 1513, Form 1514, Form 1515, Form 1516, Form 1517, Form 1518, Form 1519, Form 1520, Form 1521, Form 1522, Form 1523, Form 1524, Form 1525, Form 1526, Form 1527, Form 1528, Form 1529, Form 1530, Form 1531, Form 1532, Form 1533, Form 1534, Form 1535, Form 1536, Form 1537, Form 1538, Form 1539, Form 1540, Form 1541, Form 1542, Form 1543, Form 1544, Form 1545, Form 1546, Form 1547, Form 1548, Form 1549, Form 1550, Form 1551, Form 1552, Form 1553, Form 1554, Form 1555, Form 1556, Form 1557, Form 1558, Form 1559, Form 1560, Form 1561, Form 1562, Form 1563, Form 1564, Form 1565, Form 1566, Form 1567, Form 1568, Form 1569, Form 1570, Form 1571, Form 1572, Form 1573, Form 1574, Form 1575, Form 1576, Form 1577, Form 1578, Form 1579, Form 1580, Form 1581, Form 1582, Form 1583, Form 1584, Form 1585, Form 1586, Form 1587, Form 1588, Form 1589, Form 1590, Form 1591, Form 1592, Form 1593, Form 1594, Form 1595, Form 1596, Form 1597, Form 1598, Form 1599, Form 1600, Form 1601, Form 1602, Form 1603, Form 1604, Form 1605, Form 1606, Form 1607, Form 1608, Form 1609, Form 1610, Form 1611, Form 1612, Form 1613, Form 1614, Form 1615, Form 1616, Form 1617, Form 1618, Form 1619, Form 1620, Form 1621, Form 1622, Form 1623, Form 1624, Form 1625, Form 1626, Form 1627, Form 1628, Form 1629, Form 1630, Form 1631, Form 1632, Form 1633, Form 1634, Form 1635, Form 1636, Form 1637, Form 1638, Form 1639, Form 1640, Form 1641**

EXHIBIT 1 INCORPORATORS/FOUNDERS

Please provide the Applicant's Incorporators/Founders. (Note: If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

Last Name	First Name	Middle Name	Suffix (Jr., Sr., etc.)
Occupation		Title	
Address Line 1		Address Line 2	
City	State/Province	Postal Code	
Country	email address	Phone number	

Principal Employee (Form 1004) Attached Yes No
 Principal Entity Disclosure Form (Form 1006) Attached Yes No
 Gaming Employee Form (Form 2001) Attached Yes No

Last Name	First Name	Middle Name	Suffix (Jr., Sr., etc.)
Occupation		Title	
Address Line 1		Address Line 2	
City	State/Province	Postal Code	
Country	email address	Phone number	

Principal Employee (Form 1004) Attached Yes No
 Principal Entity Disclosure Form (Form 1006) Attached Yes No
 Gaming Employee Form (Form 2001) Attached Yes No

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 2: OTHER NAMES OF CORPORATION

List all other names in which the Applicant has done business and give the approximate time periods during which these names were being used. (Note: If a Sole Proprietorship, provide the appropriate information on the Exhibits.)

NAME	FULL ADDRESS	FROM	TO

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 3: ADDRESSES OF APPLICANT

Provide all addresses which the Applicant has used or from which it was conducting business during the last ten (10) year period, and provide the approximate dates during which such addresses were used.

Address specific use		Dates	
Address Line 1	Address Line 2		
City	State/Province	Postal Code	
Country	email address	Phone number	

Address specific use		Dates	
Address Line 1	Address Line 2		
City	State/Province	Postal Code	
Country	email address	Phone number	

Address specific use		Dates	
Address Line 1	Address Line 2		
City	State/Province	Postal Code	
Country	email address	Phone number	

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 4: APPLICANT'S BUSINESS BACKGROUND

DESCRIPTION OF PRESENT BUSINESS

TYPE OF GOODS OR SERVICES TO BE PROVIDED BY CONTRACTOR TO FACILITY

NAME OF FACILITY TO WHICH SUCH GOODS OR SERVICES WILL BE PROVIDED

EXHIBIT 5: APPLICANT AND FACILITY AGREEMENT

The date that the Applicant & Facility formally agreed to conduct business	Contract Start Date:	Contract Completion Date:
--	----------------------	---------------------------

Terms of Compensation:	Amount of Compensation
------------------------	------------------------

Nature of Contract or Agreement and Goods and/or Services to be Provided (Attach a copy of the WRITTEN agreement)

EXHIBIT 6: NAMES AND ADDRESSES OF APPLICANT'S SUBSIDIARIES

Provide the following information with respect to each company in which Applicant has an ownership interest and provide an organizational chart.

Name and Address of Subsidiaries			
Other Name (if applicable)			
Address specific use			
Address Line 1		Address Line 2	
Date at Address			
City		State/Province	Postal Code
Country	Email Address		Phone number
Name and Address of Subsidiaries			
Other Name (if applicable)			
Address specific use			
Address Line 1		Address Line 2	
Date at Address			
City		State/Province	Postal Code
Country	Email Address		Phone number

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 7: LICENSES – GAMING AND NON-GAMING (Please list Gaming licenses first and Non-gaming Licenses second.)

If the Applicant has applied for any type of license, registration, certification or permit by any governmental agency provide the following information:

Type of License or Permit	Name and Location of Governmental Agency	Application Number	Disposition (i.e. Granted, Denied, Pending, Expired, Suspended, Revoked Withdrawn etc.)	Disposition Date	If Issued, Give Appropriate License, Permit or Other Such Number and Expiration Date. If Denied, Pending, Expired, Suspended, Conditioned, Withdrawn or Revoked, Provide Why

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 8: APPLICANTS EMPLOYEES CONDUCTING BUSINESS WITH FACILITY

Provide the following information for each individual who entered into an agreement with or will deal directly with the facility operator, including sales representatives; the immediate supervisors of such individuals; and that individual's supervisor.
 Each individual listed in Exhibit 8 must submit a Release Authorization and a Principal or Gaming license application as described in E.7(j)

Name and Address				
Last Name	First Name	Middle Name	Suffix	Date of Birth
Home address		City	State	Postal Code
Business address		City	State	Postal Code
Email address	Phone Number	Social Security #	Title/Position	Years / Months with company

Name and Address				
Last Name	First Name	Middle Name	Suffix	Date of Birth
Home address		City	State	Postal Code
Business address		City	State	Postal Code
Email address	Phone Number	Social Security #	Title/Position	Years / Months with company

Name and Address				
Last Name	First Name	Middle Name	Suffix	Date of Birth
Home address		City	State	Postal Code
Business address		City	State	Postal Code
Email address	Phone Number	Social Security #	Title/Position	Years / Months with company

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 9: CURRENT DIRECTORS, PARTNERS, OFFICERS AND TRUSTEES

Provide the following information for all officers, directors/partners and trustees. The term “officer” means President, Chief Executive Officer, a Chief Financial Officer and a Chief Operating Officer and any individual routinely performing corresponding functions with respect to an organization whether incorporated or unincorporated.

For Publicly Traded Companies, list only those who will be involved in the conduct of the Applicant’s business with the facility. Each individual listed in Exhibit 9 must submit a Release Authorization and a Principal or Gaming license application as described in C.2 and C.3

Name, Home Address & Business Address of Director, Partner, Officer or Trustee					
Last Name	First Name		Middle Name	Suffix (Jr., Sr., etc.)	Date of Birth
Home Address Line 1			Home Address Line 2		
City			State/Province	Postal Code	
Country	Email Address	Telephone Number	Fax Number	Social Security Number	
Title/Position	From	To	Annual Compensation	Composition of compensation	

Name, Home Address & Business Address of Director, Partner, Officer or Trustee					
Last Name	First Name		Middle Name	Suffix (Jr., Sr., etc.)	Date of Birth
Home Address Line 1			Home Address Line 2		
City			State/Province	Postal Code	
Country	Email Address	Telephone Number	Fax Number	Social Security Number	
Title/Position	From	To	Annual Compensation	Composition of Compensation	

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 10: FORMER (NO LONGER ACTIVE) DIRECTORS, PARTNERS, OFFICERS AND TRUSTEES

Provide the following information for all officers, directors/partners and trustees who are no longer actively involved with the Applicant but who held such a position during the last ten (10) years.

Name, Home Address & Business Address of Director, Partner, Officer or Trustee

Last Name		First Name		Middle Name		Suffix (Jr., Sr., etc.)	Date of Birth
Home Address Line 1				Home Address Line 2			
City				State/Province		Postal Code	
Country	Email Address		Telephone number	Fax Number		Social Security Number	
Most Recent Title/Position		From	To	Annual Compensation		Composition of compensation	
Reason for leaving:							

Name, Home Address & Business Address of Director, Partner, Officer or Trustee

Last Name		First Name		Middle Name		Suffix (Jr., Sr., etc.)	Date of Birth
Home Address Line 1				Home Address Line 2			
City				State/Province		Postal Code	
Country	Email Address		Telephone number	Fax Number		Social Security Number	
Most Recent Title/Position		From	To	Annual Compensation		Composition of compensation	
Reason for leaving:							

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 11: APPLICANT'S OWNERS

Provide the following information for each individual or person who directly or indirectly owns more than five (5) percent of the Applicant or its business. For publicly traded companies provide only the following information for each individual or person who directly owns more than five (5) percent of the Applicant or its business. Each individual listed in Exhibit 11 must submit a Release Authorization and a Principal or Gaming license application as described in C.2 and C.3

Name and Address				
Last Name	First Name	Middle Name	Suffix (Jr., Sr., etc.)	Date of Birth
Home Address Line 1		Home Address Line 2		
City		State/Province	Postal Code	
Country		Email Address	Contact Number	
Percent of Ownership	Date Acquired	Employer ID Number	Social Security Number	

Describe Nature, Type, Terms and Conditions of Ownership

Name and Address				
Last Name	First Name	Middle Name	Suffix (Jr., Sr., etc.)	Date of Birth
Home Address Line 1		Home Address Line 2		
City		State/Province	Postal Code	
Country		Email Address	Contact Number	
Percent of Ownership	Date Acquired	Federal Employer ID Number	Social Security Number	

Describe Nature, Type, Terms and Conditions of Ownership

EXHIBIT 12 BANKRUPTCY OR INSOLVENCY PROCEEDINGS *(During the past 5 years only)*

Date Petition Filed or Relief Sought	Title of Case and Docket Number	Name and Address of Court or Agency
Date Judgment Entered		Name and Date Appointed of Court Appointed Receiver, Agent or Trustee
Nature of Judgment or Relief		

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 13:

CRIMINAL HISTORY *(During the past 5 years only)*

NAME OF CASE AND DOCKET NUMBER	NATURE OF CHARGE, INDICTMENT OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	DISPOSITON (ACQUITTED, CONVICTED, DISMISSED, ETC.)	SENTENCE	NAME OF DIRECTOR, PARTNER, OFFICER OR TRUSTEE

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 14: TESTIMONY, INVESTIGATIONS, OR POLYGRAPHS *(During the past 5 years only)*

Has Applicant or any of its Principal Employees, (Directors, Partners, Officers, Trustees, Owners) ever been called to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, federal, national, etc.) other than in response to minor traffic-related offenses? If “Yes”, provide the following information.

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDINGS OR INVESTIGATION	WAS TESTIMONY GIVEN? (Yes or No)	DATES ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION	DIRECTOR, PARTNER, OFFICER OR TRUSTEE

Type of Proceeding or Investigation

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDINGS OR INVESTIGATION	WAS TESTIMONY GIVEN? (Yes or No)	DATES ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION	DIRECTOR, PARTNER, OFFICER OR TRUSTEE

Type of Proceeding or Investigation

Note: Attach additional copies of Exhibit pages as needed

EXHIBIT 15:

LITIGATION *(During the past 5 years only)*

Describe all existing civil litigation or any settled or closed legal action over the past five (5) years to which the Applicant, its parent, affiliate, holding or any subsidiary is or was a party in any jurisdiction. This description must include the title and docket number of the litigation, the name and location of the court before which it is pending, the identity of all parties to the litigation, the general nature of all claims being made and the nature of any judgments. List the most recent litigation first.

Name of case and docket number	Name and address of law court involved in litigation	Name of all parties involved in litigation	Nature of Claim(s) and judgment (if judgment has been rendered)

Note: Attach additional copies of Exhibit pages as needed

REQUIRED ATTACHMENTS

Attachments are appendices you are to provide or create. Attachments do not contain corresponding questions, exhibits or charts. Each document provided as an attachment must be presented in the same order as listed below provided to the Commission in the manner described above in **A.12** and **A.13** (separated, secure and labeled).

If an attachment is not applicable to the Applicant, indicate “**N/A**”, then use **Exhibit 17** to explain why it is not applicable. All information shall be provided **in addition** to the exhibits that are to be submitted.

BUSINESS DOCUMENTS

Certified copies of all charters, articles of incorporation, by-laws, articles of organization, operating agreements, partnership agreements, trust agreements or other similar documents of the Applicant, including all amendments.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
Proof of Registration with the Maryland Secretary of State. (Certificate of Good Standing)	<input type="checkbox"/> Attached <input type="checkbox"/> N/A

ANNUAL REPORTS

The most recent annual report of the Applicant that was submitted to shareholders, partners, members or other persons and meeting minutes from the last 12 months.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
The most recent annual report (s) filed with the Secretary of State or similar official for all states in which the Applicant conducts business.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A

PUBLICLY TRADED ENTITIES

A corporation that is a registrant under the Securities Act of 1933 or the Securities Exchange Act of 1934 shall submit a copy of the two most recent annual reports prepared on Form 10K.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
A corporation that is a registrant with the Securities and Exchange Commission (SEC) shall submit a copy of the Form 10Q for the last two filings.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
A corporation that is a registrant with the SEC shall submit a copy of the most recent Form 8K filed with the SEC if filed after the latest 10K filing.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A

ORGANIZATIONAL CHARTS

A flowchart illustrating the fully diluted ownership of the Applicant. List all parent, subsidiary or intermediary companies until the flowchart reflects 100% of the stock, partnership, membership or ownership interest as being held by an individual(s) and not other legal persons. If the ultimate parent company is publicly traded and no natural person controls more than 5% of the publicly traded stock, indicate that in a footnote to the flowchart.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
A chart showing the corporate structure of the Applicant	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
An organizational chart identifying all officers, directors, managers, managing members, partners and key/managerial employees of the Applicant. Include position descriptions and the names of persons holding such positions.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A

TAX RETURNS

All U. S. Corporate Income Tax Returns, or all U. S. Partnership Returns, or personal tax returns and state business or personal tax returns for the past three years . Include all amended returns, exhibits and attachments to tax returns.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A
A list of all IRS 1099 recipients for the past year.	<input type="checkbox"/> Attached <input type="checkbox"/> N/A

EXHIBIT 18
AUTHORIZATION FOR RELEASE OF INFORMATION

TO: _____

FROM: _____
(Printed Name of Applicant Entity)

I am the authorized representative of an Applicant for a Gaming Contractor license in the State of Maryland.

The Maryland Lottery and Gaming Control Commission ("Commission") is required by law to conduct an investigation of an Applicant for a video lottery terminal license or instant bingo facility license. That investigation requires the Commission to collect and evaluate information about the entity that I represent. On behalf of the entity, I irrevocably give consent to the Commission, and persons authorized by the Commission, to: (1) verify all information provided in the license application documents; (2) conduct a background investigation of the entity; and to have access to any and all information that the entity has provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as the information obtained by that other jurisdiction during the course of any investigation that it may have conducted about the entity.

By executing this Authorization, I authorize any of the following entities to release to the Commission any and all information about the entity that the Commission requests: local, State or federal government unit; commercial or business enterprise; non-profit entity; individual; or any other public or private entity. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, on behalf of the entity, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases information to the Commission under the authority of this Authorization. Photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally effective as an original.

Signature of Individual Completing Form

Date

Printed Name

Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires _____, 20_____

**EXHIBIT 19
AFFIDAVIT OF REPRESENTATIVE OF APPLICANT**

I, _____ (printed name), am authorized to complete and execute this Gaming Contractor License Application on behalf of _____ (printed name of Contractor). I am also authorized to provide all of the information requested on this Form to the Maryland Lottery and Gaming Control Commission, its employees, agents, and vendors (collectively, "the Commission"), and to make the representations set forth in this Affidavit.

I have read, and understand, every page of this Application. To the best of my knowledge, information, and belief, the information that I have provided on, or attached to, this Application is accurate, complete, and not misleading. I understand that any misrepresentation or omission may lead to the delay or denial of an application for a license, or may result in the Commission imposing sanctions against the Applicant, up to and including revocation of its license if it has been awarded or issued a license. I understand that any misrepresentation or omission on this Application may also subject me, or the contractor that I represent, to civil or criminal liability. I understand and acknowledge that the contractor has an ongoing duty to promptly notify the Commission if any information it provides the Commission changes.

By a separate Authorization for Release of Information, I am authorizing any entity or individual that has information about the Contractor that I represent, to release that information to the Commission for purposes of its investigation of an Applicant for a Gaming Contractor license.

On behalf of the Contractor and its successors and assigns, I expressly waive, release, discharge, and forever hold harmless and agree to indemnify, the Commission, the State of Maryland, and their employees, agents, and representatives, from liability for any and all claims or legal action arising from any actions that the Commission or the State of Maryland may take related to the collection of information from the Contractor and the use of that information in connection with investigating an Institutional Investor.

SIGNATURE OF AUTHORIZED REPRESENTATIVE

DATE

PRINTED NAME OF AUTHORIZED REPRESENTATIVE

TITLE

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Printed Name

Stamp or Seal

My commission expires _____, 20____

**EXHIBIT 20
ACKNOWLEDGEMENT AND DISCLOSURE**

I understand and acknowledge the following:

I am a representative of the Applicant _____ (printed name of Contractor), who is applying to the Maryland Lottery and Gaming Control Commission (“Commission”) for a Maryland Gaming Contractor License. The Applicant cannot conduct business with a video lottery operation licensee applicant or a video lottery operation licensee unless the Commission finds that the Applicant meets the legal requirements for licensure. The Commission, through its employees, agents and vendors, is required by law to conduct a background investigation of each Applicant for a license.

During the investigation, the Commission is required to collect and evaluate various kinds of information or reports to determine if Applicants meet the eligibility requirements for licensure. The background investigation will include, but not be limited to, information or reports about the Applicant’s: character; general reputation; personal characteristics, including honesty and integrity; financial stability; debts to State agencies; criminal records, records of involvement with federal, state or other law enforcement agencies as specified in the application, or record of involvement with any litigation. As a representative, I have the right to request a complete and accurate disclosure of the nature and scope of the investigation and a copy of a summary of the Applicant’s rights under federal credit reporting law.

I am requesting that the Commission, through its employees, agents or vendors, obtain this information about the Applicant to evaluate their eligibility for a license. I acknowledge that this disclosure and authorization remain in effect during the time the application is pending and during the time of any Contractor license that may be granted.

By separate Authorization for Release of Information, I am authorizing any entity or individual that has information about the Contractor that I represent, to release that information to the Commission for purposes of its investigation of an Applicant for a Gaming Contractor license.

Signature

Date

Printed Name

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Printed Name

Stamp or Seal

My commission expires _____, 20_____

EXHIBIT 21

CERTIFICATION OF BUSINESS RELATIONSHIP

LICENSEE: _____

CONTRACTOR: _____
(Applicant's Printed Name)

I, _____ (printed name), am authorized to complete and execute Business Agreements on behalf of _____ (Licensee Name). The Contractor stated above has entered into an agreement/contract to provide Gaming related goods or services to this licensee.

The Contractor will provide the following Gaming related goods and/or services to this facility (describe in detail the goods and/or services to be provided:

Signature of Licensee Representative Date

Printed Name Title

NOTARY

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires _____, 20____