

# **Lottery and Gaming Control Commission**

1800 Washington Boulevard, Suite 330, Baltimore, MD 21230

# NON-GAMING and NON-SPORTS WAGERING VENDOR REGISTRATION APPLICATION

# Form #1023

Vendor's Business Name (Applicant):

MUST be exactly as it is registered with Maryland SDAT

Enter 'D/B/A' or 'T/A' name, if applicable: ("Doing Business As" or "Trading As")

Name of Sponsoring Entity:

Sponsoring Entity is a/an: (check one)

- □ Video Lottery Facility (Gaming Casino) license holder or license applicant
- Gaming Manufacturer license holder or license applicant
- Gaming Contractor license holder or license applicant
- Sports Wagering Facility license holder or license applicant
- Sports Wagering Facility Operator license holder or license applicant
- □ Mobile Sports Wagering license holder or license applicant
- Online Sports Wagering Operator license holder or license applicant
- An authorized Non-Gaming and Non-Sports Wagering Construction Vendor

## NOTICE

Maryland's Gaming Law and Sports Wagering Law are long and complex. Maryland Gaming Law is located in State Government Article, Title 9, Subtitle 1A, Annotated Code of Maryland ("SG"). The regulations promulgated by the Maryland Gaming Control Commission ("Commission") are found in Code of Maryland Regulations ("COMAR") 36.03. Collectively, SG § 9-1A *et seq.* and COMAR 36.03 are referred herein as the "Gaming Law". Maryland's Sports Wagering Law is located in SG Title 9, Subtitle 1E, and the regulations promulgated the Commission are found in COMAR 36.10. Collectively, SG § 9-1E *et seq.* and COMAR 36.03 are referred herein as the "Sports Wagering Law".

The cites referenced within this application are for explanatory purposes only, are subject to change, may be different from what is provided, and should not be relied upon. You are expected to be familiar with the current Sports Wagering Law and Gaming Law; updates and information may be found at https://www.mdgaming.com/.

Failure or refusal to adhere to the Gaming Law and Sports Wagering Law may result in the Commission imposing sanctions and/or penalties, to include, but not be limited to, placing conditions on the applicant; suspension or cancellation of the certification; and/or fines, and may result in criminal and/or civil charges being filed against the certificate holder.

## **SECTION A - ELIGIBILITY**

- **A.1** A **Sponsoring Entity** for the purposes of the Non-Gaming and Non-Sports Wagering Vendor Registration Application is an applicant for, or a license holder of, a/an:
  - (a) Video Lottery Facility (Casino) License;
  - (b) Gaming Manufacturer License;
  - (c) Gaming Contractor License;
  - (d) Sports Wagering Facility License;
  - (e) Sports Wagering Facility Operator License;
  - (f) Mobile Sports Wagering License;
  - (g) Online Sports Wagering Operator License;
  - (h) Sports Wagering Contractor License; or
  - (i) Authorized Non-Gaming or Non-Sports Wagering Construction Vendor.
- **A.2** A "Vendor" has the meaning stated in COMAR 36.03.02.17 and COMAR 36.10.06.11 and is any person who provides non-gaming or non-sports wagering goods and services to a Sponsoring Entity.

A Non-Gaming or Non-Sports Wagering Vendor is **prohibited** from providing or attempting to provide any gaming or sports wagering goods, services, parts, components, or supplies unless **licensed** by the Commission as a:

- (a) Gaming Manufacturer;
- (b) Gaming Contractor; or
- (c) Sports Wagering Contractor.
- **A.3** Pursuant to COMAR 36.03.02.17 and COMAR 36.10.06.11, a Vendor, unless exempt, is required to be registered with the Commission **prior to conducting any business** with a Maryland Sponsoring Entity.

## **SECTION B - APPLICATION NOTICES and PROHIBITIONS**

- **B.1** A vendor must determine if a registration application is required based on the **combined total value** of non-gaming and/or non-sports wagering goods and services to be provided, or is anticipated to be provided, to a Sponsoring Entity or multiple Sponsoring Entities, within a calendar year.
- **B.2** As described in COMAR 36.03.02.17 and COMAR 36.10.06.11, a vendor that provides, or anticipates providing, non-gaming and/or non-sports wagering goods and services within a calendar year with a combined total value:
  - (a) Of less than \$50,000 to a Sponsoring Entity is **exempt** from submitting an application to the Commission, but is required to be registered with Maryland SDAT;
  - (b) At or above \$50,000 to a Sponsoring Entity is required to be **Registered** by the Commission (and complete Form 1023).
- **B.3** The Commission monitors all payments to Vendors by each Sponsoring Entity. If the Commission determines that a Vendor's combined total value of non-gaming and/or non-sports wagering goods and services is approaching the prescribed \$50,000 threshold, the Sponsoring Entity may delay or cease payments to the Vendor to prevent a violation of the Gaming Law or Sports Wagering Law.

If the Commission determines that a Vendor's combined total value of non-gaming and/or non-sports wagering goods and services has exceeded the prescribed \$50,000 threshold, the Sponsoring Entity is required to **cease payments to the Vendor**. The Vendor is prohibited from conducting any further business until the Commission approves the Vendor's Registration application.

Violations of Gaming Law and Sports Wagering Law related to the combined total value of non-gaming and non-sports wagering goods and services provided by a Vendor **may result in penalties or sanctions against a licensee or cancellation of a Vendor's registration**.

#### **SECTION C – VENDOR-RELATED APPLICATIONS**

**C.1** Non-Gaming and Non-Sports Wagering Vendor Registration, Form 1023. As described in Section B.2 and as provided by COMAR 36.03.02.17 and COMAR 36.10.06.11, if a Vendor provides or anticipates providing non-gaming and non-sports wagering related goods and services with a combined total value of \$50,000 and above in a calendar year, then it is required to submit a Vendor Registration application to the Commission and seek approval as a Registered Non-Gaming and Non-Sports Wagering Vendor.

Providers of certain goods and services may be required by the Commission to submit a Vendor Registration Form **regardless of the combined annual total value**.

**C.2 Emergency Services Response Vendor Application, Form 1024**. This form is completed by the Sponsoring Entity and not by the prospective Vendor. The Commission provides Sponsoring Entities, including Construction Vendors, with the opportunity to use non-approved vendors in an emergency by completing the Emergency Services Response Vendor Application, Form 1024.

As provided by COMAR 36.03.02.17 and COMAR 36.10.06.11, this notification via Form 1024 must be completed by the Sponsoring Entity, which may include certain Construction Vendors, and submitted

to the Commission if a Sponsoring Entity encounters an emergent threat to public health, safety, or welfare that is outside its control and requires immediate provision of goods or services by a Vendor. This emergency notification shall occur no later than the next State work day.

Unless exempt, a Vendor that provided goods or services as an **emergency services response Vendor**, then must submit a Vendor Registration application to the Commission within **20 business days** of the date a Form 1024 was submitted by the Sponsoring Entity.

## **SECTION D - FEES AND COSTS**

#### **Application Fee:**

Vendor Registration (Form 1023) is \$100.

## SECTION E - TERM OF REGISTRATION AND RENEWAL OF REGISTRATION

- **E.1 Term:** A Maryland Non-Gaming and Non-Sports Wagering Vendor Registration is valid for five (5) years from the date of approval of registration.
- **E.2 Renewal Process:** The Commission may renew the Non-Gaming and Non-Sports Wagering Vendor's Registration if the Vendor:
  - (a) Submits an application for renewal to the Commission at least 90 days before the Vendor's registration expires, but not more than 120 days before the Vendor's registration expires;
  - (b) Continues to comply with all vendor registration requirements; and
  - (c) Pays the Non-Gaming and Non-Sports Wagering Vendor's Registration renewal fee of \$100.

#### **SECTION F - REMITTANCE OF FEES**

#### Required Fees:

An Applicant is required by the Gaming Law and Sports Wagering Law to submit a payment to the Commission *at the time the application is submitted to the Commission*. If an applicant fails to submit the required payment, the Commission may determine that an Applicant has submitted an incomplete and inaccurate application and may deny the registration.

**<u>NOTE</u>**: Vendor application fees are due at the time of application, and are made payable to *"Maryland Lottery and Gaming Control Agency"*. These fees are **non-refundable**.

#### Payment Submission Process:

The Sponsoring Entity will designate or assign at least one employee who will be responsible for its vendors, which is the Sponsoring Entity Vendor Administrator. The Licensing Division will authorize the Vendor Administrator to perform certain tasks within the 'eLicensing' system such as uploading documents, entering data, and submitting information. The Licensing Division expects the Vendor Administrator to convey certain information to the Vendor.

#### <u>Step 1</u>:

The Applicant is required to submit a payment via option 'A' or 'B' in a timely manner.

- A. The Applicant may submit a payment directly to the Licensing Division in the form of a:
  - 1. Business Check;
  - 2. Cashier's Check; or a
  - 3. Money Order (**no** personal checks).

The Business Check, Cashier's Check or Money Order must be sent to:

Maryland Lottery and Gaming Control Agency Attn: <u>Licensing and Background Investigations Division</u> 1800 Washington Boulevard, Suite 330 Baltimore, Maryland 21230

B. The Applicant may submit a payment via a Wire or ACH Transfer:

Bank Name:	Wells Fargo Bank, N.A.
Bank Address:	420 Montgomery Street, San Francisco, CA 94104
Account Name:	Maryland State Lottery
<b>ABA Routing Number</b> :	121000248
Swift Code:	WFBIUS6S
Account Number:	4928823376

#### <u>Step 2</u>:

The Applicant is **required** to send an email to the Licensing Division (<u>gaming.services@maryland.gov</u>), entitled "Payment Notification."

The email must notify the Commission of the following:

- 1. The name of the Vendor applicant (especially important if the company's name on the check is different from the Vendor's name);
- 2. The name of the Sponsoring Entity; and
- 3. The certified / bank check, money order, or wire/ACH transfer number.

## **SECTION G - IMPORTANT NOTICES**

- G.1 Official Document. This form is an official document of the Maryland Lottery and Gaming Control Commission ("Commission"). It may not be altered or changed, except to insert the information that is required. Any alteration or change to this document is prohibited and may cause the application or the Vendor's registration to be delayed or denied.
- **G.2** Accuracy, Completeness, and Truthfulness. You must make accurate statements and include all material facts. Any misrepresentation, failure or refusal to provide any required information; intentional omissions of material facts; misrepresentation of details; or failure or refusal to meet any other requirement as set out in the Gaming Law and Sports Wagering Law may result in the denial of the application. False or misleading statements to the Commission may subject a Vendor applicant to civil and/or criminal penalties. All submissions are subject to verification.
- **G.3** Vendor's Conduct. Upon receipt of the registration fee, completed application, and verification of the information provided by the Vendor, the Commission will determine whether the Vendor's conduct of business with the Sponsoring Entity is consistent with the public interest of the State and the policies in the Gaming Law and Sports Wagering Law. If the Vendor's conduct is contrary to public interest of the State or the policies of the Gaming Law and Sports Wagering Law and Sports Wagering Law, then the Commission shall deny the Vendor's request for registration.
- **G.4 SDAT Compliance**. Failure or refusal to maintain the criteria for approval, to include compliance with all Maryland State Department of Assessments and Taxation ("Maryland SDAT") regulations, may lead to the Commission suspending or cancelling the Vendor's Registration. Applicant's compliance with Maryland SDAT regulations **will be audited periodically**.
- **G.5 Denials**. The Commission's decision to deny or cancel a Vendor registration, or denial of the renewal of a Vendor registration, does not give rise to an appeal right under the contested case provisions of the Maryland Administrative Procedure Act. COMAR 36.03.02.17; COMAR 36.10.06.11.
- **G.6 Continuing Obligation**. A Vendor is under a continuing obligation to promptly disclose any changes in information provided in the application, as well as, any changes to the materials submitted in connection with this Application. The duty to make such additional disclosures shall continue throughout any period of registration.
- **G.7** Changes to Submitted Information. The applicant shall promptly provide written notification to the Commission of any corrections or changes to this application once submitted, or to materials submitted in connection with this Application. The duty to notify the Commission in writing of any changes or corrections shall continue throughout any period of registration.

Changes in the ownership of the Vendor; transfer or departure of individuals (e.g. any officer, partner, director, management or supervisory employees, or the designated point-of-contact); physical/email addresses; or phone numbers **require written notification** to the Commission. The Vendor must immediately submit a <u>Vendor Change of Information Form - Registered Vendor, Form 1023U</u>, available at <u>https://www.mdgaming.com/licensing/vendors/.</u>

**G.8** Changes to Vendor's Business Name. The Vendor may only conduct business with a Sponsoring Entity by the name approved by the Commission. If a Vendor changes the name of the company with

which it conducts business with a Sponsoring Entity, the Vendor must immediately submit a <u>Vendor</u> <u>Change of Information Form – Registered Vendor, Form 1023U</u>, available at <u>https://www.mdgaming.com/licensing/vendors/</u>.

- **G.9 Required Disclosures**. Applicants are required to disclose to the Commission each person or entity who owns five percent (5%) or more of the Applicant's business. Additional disclosure includes those officers, partners, and directors, as well as, each employee in a position of supervision or management, who are responsible for directly or significantly overseeing, administering, or controlling the provision of goods and/or services to a Sponsoring Entity. See **I.4**.
- **G.10** Maryland SDAT. A company must be registered with Maryland SDAT to conduct business in Maryland. Companies must maintain compliance with Maryland SDAT laws for the duration of their registration by the Commission.

Submit a .pdf of the Applicant's "Good Standing" status or "Trade Name Registration" with Maryland SDAT (not a certificate from the Maryland Comptroller's Office) to the Vendor Administrator. The Applicant submit copy of the 'General Information' may а page (https://egov.maryland.gov/BusinessExpress/EntitySearch/Search) that displays the status. The Applicant will not need to click on the >>Order Certificate of Status, (unless one is needed one for the Applicant's company records). The \$20 copy of the certificate from Maryland SDAT is not needed for this application process.

The Vendor Administrator will upload the *.pdf* into the 'eLicensing' system on behalf of the Applicant. Information regarding the Maryland SDAT registration process may be found at: <u>http://dat.maryland.gov/Pages/default.aspx</u>.

**<u>NOTE</u>**: The Commission periodically monitors each Vendor's compliance with Maryland SDAT. Vendors who fail to abide by Maryland SDAT regulations and do not maintain "Good Standing" status, or allow their Maryland Business Charter to become "Forfeited", may no longer conduct business in Maryland. The Vendor's approved registration by the Commission will be **cancelled**, and the Vendor will be prohibited from conducting business with any Maryland Sponsoring Entity.

**G.11 PIA Requests**. An Applicant should clearly identify those portions of their application that they deem to be confidential, proprietary commercial information, trade secrets, or confidential financial information of the Applicant and provide justification of why such materials may not be disclosed by the Commission pursuant to a request made under the Public Information Act ("PIA"), Title 4, Subtitle 3, General Provisions Article, Annotated Code of Maryland ("GP"). An Applicant's blanket statement that its entire application is "confidential" is unacceptable. Confidential information supplied by the Applicant shall be used in the ordinary course of processing an application and evaluating the qualifications of an Applicant.

Applications may be subject to partial disclosure pursuant to a request under the PIA. When the Commission receives a PIA request, Staff will collect and review all records that are responsive to the request. The Commission will notify an Applicant of a request made under the PIA and will consider the Applicant's views as to whether the requested information is exempt from disclosure under the PIA. The Commission will make a determination as to whether the information may be disclosed.

- **G.12** Qualification to Provide Goods and Services. Once a Vendor is approved and registered by the Commission, the Vendor is authorized to conduct business with <u>all</u> Maryland Sponsoring Entities. The Registered Vendor is not required to submit a new application for each new Sponsoring Entity with whom the Vendor contracts to provide goods and services.
- **G.13 Supplemental Documentation**. The Commission may request additional financial and other information as needed.

## **SECTION H – INSTRUCTIONS**

These instructions are applicable to any Applicant for a Non-Gaming and Non-Sports Wagering Vendor Registration. Detailed instructions for completion and submission of an application in the 'eLicensing' system can be found in the <u>Vendor Applicant Reference Guide</u> which may be downloaded by the Applicant.

- **H.1** Vendor applications are submitted to the Commission electronically via the 'eLicensing' system. Paper applications for Vendor Registration <u>will not</u> be accepted by the Commission.
- H.2 Applicants will be provided with a logon Username and temporary Password by the Sponsoring Entity's Vendor Administrator. The 'eLicensing' system will generate a list of required documents that the Applicant must forward to the Vendor Administrator. The appropriate documents may be downloaded from the 'Non-Gaming and Non-Sports Wagering Forms & Information' section of the MLGCA vendor site: <u>https://www.mdgaming.com/licensing/vendors/</u> The Vendor Administrator will upload the documents into 'eLicensing' on behalf of the Applicant.
- H.3 Read each question carefully. Do not leave blank spaces or blank blocks. If a question does not apply, enter "Does not apply" or "N/A." If the correct answer to a particular question is "None," enter "None."
- **H.4** Do not omit or otherwise bypass answers to any response throughout the application. Vendor applicants who submit incomplete applications may be denied.

## **SECTION I - APPLICANT INFORMATION**

#### **I.1**

#### **BUSINESS NAME OF APPLICANT**

Provide the name of the business as written in the Articles of Incorporation, By-Laws, Charter Partnership Agreement or other official documents filed with a State or Federal Government:

Doing Business As (d/b/a) or Trading As (t/a) Name:

I.2 APPLICANT'S PRINCIPAL ADDRESS(ES)		
	ress: (check all that apply to this address)	
☐ Mailing ☐ Residential ☐ Corpora Address Line 1	te $\Box$ Production $\Box$ Development/Testing	□ Warehouse □ Other
Address Line I		
Address Line 2		
City	State	Zip
Mailing Address Line 1, if different from	n above, otherwise enter "Same"	
Mailing Address Line 2		
City	State	Zip
Vendor's Website		
**	ress: (check all that apply to this address)	□ No Secondary Address
☐ Mailing ☐ Residential ☐ Corpora Address Line 1	te Development/Testing	□ Warehouse □ Other
Address Line I		
Address Line 2		
City	State	Zip
Mailing Address Line 1, if different from	n above, otherwise enter "Same"	
Mailing Address Line 2		
City	State	Zip
Vendor's Website		
I.3 APP	LICANT'S POINT-OF-CONT	TACT
Point of Contact*: (Noma)	(Title	
*This individual must have the authority	to make decisions on behalf of the Vendor.	
,		
E-mail address: All notifications will be made to this e-m	ail address. Check the "SPAM" folder for e	-mails from "@maryland.gov."
Office Telephone Number:	Cell Phone N	umber:
I.4a	OWNERS	
	ers, (i.e. each person or entity who o ude Vendors operating as a General	-

Applicant's business, to include Vendors operating as a General Partnership). If necessary, send a detailed explanation, which may include charts, diagrams or other clarifying information, to the Licensing Division (<u>nongaming.vendors@maryland.gov</u>), that describes the corporate structure, and enter "Ownership information – See Attached" in the "Name" and "Position / Title" fields below. If

parent companies are present, the memorandum **must** describe whether the parent company will have any direct or any indirect contact with the Sponsoring Entity during the provision of goods and services. The email should be titled "I.4a, Ownership explanation – *company name*." See **G.11** 

Name	Position / Title

#### I.4b

## **OFFICERS, PARTNERS, DIRECTORS**

Provide the name of each company Officer, Partner, and Director who will be directly or significantly involved in the provision of goods and/or services to the Sponsoring Entity. "N/A" is not an acceptable response to this inquiry.

Name	Position / Title	

#### I.4c

## MANAGERS / SUPERVISORS

Provide the names for individuals holding positions of supervision or management including individuals who manage, administer or control the Applicant's activities with the Sponsoring Entity, such as project managers, field supervisors, account managers, site superintendents, distribution managers, sales supervisors, account representatives, etc. "N/A" is not an acceptable response to this inquiry.

Name	Position / Title

# I.5 COMPLIANCE WITH MARYLAND SDAT REGISTRATION

Maryland SDAT registration required (not a certificate from the Maryland Comptroller's Office)

Maryland SDAT Department ID Number (1 letter plus 8 numbers): \_\_\_\_

Certificate of "Good Standing"

"Trade Name Registration"

## **I.6**

#### **SPONSORING ENTITY**

or

Vendor's Sponsoring Entity:

## I.7 COMBINED TOTAL VALUE OF GOODS AND SERVICES

Identify the combined total value of goods and services the Vendor expects to provide, or has been contracted to provide, during a calendar year.

The actual contracted value of goods and services will be \$\_\_\_\_\_. Refer to Section **B.2.** 

## REMAINDER OF PAGE INTENTIONALLY BLANK

Maryland Lottery and Gaming Control Commission

Vendor Registration Application Form # 1023

# **APPLICANT'S BUSINESS BACKGROUND**

(a) **DESCRIPTION OF THE VENDOR'S PRESENT BUSINESS.** Furnish the Commission with a 'snapshot' of the Vendor Applicant's company and describe the Vendor's capacity and capabilities to provide the services declared in the application.

(b) DESCRIPTION OF THE SPECIFIC TYPE(S) OF GOODS OR SERVICES TO BE PROVIDED BY THE VENDOR TO THE SPONSORING ENTITY.

Check here if the good or service is **COMPUTER SOFTWARE**, to include SaaS.

(c) SOFTWARE NOTICE: If the Applicant has been contracted to provide <u>any form of computer software or software-as-a-service</u>, specific written declarations must be made to the Commission **before** the software may be connected to any system operated by the Sponsoring Entity.

□ N/A, our goods and services do not include any **COMPUTER SOFTWARE, to include SaaS**.

Our goods and services **DO** include **COMPUTER SOFTWARE**, to include SaaS. Our goods and services are **NOT** currently connected.

Our goods and services **DO** include **COMPUTER SOFTWARE**, to include SaaS. Our goods and services **ARE CURRENTLY** connected.

(d) LIST OTHER VIDEO LOTTERY FACILITIES (CASINOS), SPORTS WAGERING FACILITIES, AND ONLINE SPORTS WAGERING OPERATIONS SERVED BY THE VENDOR. Provide a list of other jurisdictions where the Vendor conducts business related to gaming or sports wagering. (List by Name, City, State, Country). Applicant may upload a list, if necessary.

(e) TALLY OF THE WORK FORCE SUPPORTING THE VENDOR'S PROVISION OF GOODS AND SERVICES. Furnish the Commission with the total number of employees IN MARYLAND who will be directly associated with providing the goods or services. Furnish the Commission with the total number of employees OUTSIDE OF MARYLAND who will be directly associated with providing the goods or services.

In Maryland =

**I.8** 

Outside of Maryland =

(f) IN THE LAST TEN (10) YEARS, HAS THE APPLICANT HAD ANY GAMING OR SPORTS WAGERING LICENSE APPLICATION, LICENSE, PERMIT, OR OTHER AUTHORIZATION ISSUED BY A GOVERNMENT AGENCY IN ANY JURISDICTION BE DENIED, SUSPENDED OR REVOKED? If "Yes," provide 1) type of license or permit; 2) jurisdiction; 3) details of jurisdiction's actions; and 4) current status of license or permit.

YES NO

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Maryland Lottery and Gaming Control Commission

#### AUTHORIZATION FOR RELEASE OF INFORMATION (BUSINESS)

**TO:**\_\_\_

(Leave blank - to be filled in by the Commission)

FROM\_

(Printed Name of the Applicant)

The above listed entity ("Applicant") is applying for a Non-Gaming and Non-Sports Wagering Vendor Registration in the State of Maryland. I am an authorized representative of the above listed Applicant.

I understand that the Maryland Lottery and Gaming Control Commission ("Commission") is required to conduct an investigation of an Applicant for a Non-Gaming and Non-Sports Wagering Vendor Registration. That investigation requires the Commission to collect and evaluate information about the entity that I represent. On behalf of the entity, I irrevocably give consent to the Commission, and persons authorized by the Commission, to: (1) verify all information provided in the license application documents; (2) conduct a background investigation of the entity; and to have access to any and all information that the entity has provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as the information obtained by that other jurisdiction during the course of any investigation that it may have conducted about the entity.

That investigation required the Commission to collect and evaluate information about the Applicant. By executing this Authorization for Release of Information, I authorize any: Local, State or Federal government unit; commercial or business enterprise, including a consumer reporting agency, a non-profit entity, an individual or any other public or private entity, to release to the Commission any and all information about the Applicant and its principals that the Commission requests. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, on behalf of the entity, I expressly waive, release, discharge and forever hold harmless and agree to indemnify the unit, entity, or individual that releases information to the Commission under the authority of this Authorization. Photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally effective as an original.

Signature of Individual Completing I My affiliation with the Applicant is:		Date er 🗆 Director 🗆 Officer 🗆 Other	
Printed Name		Title	
	NOTARY	Y PUBLIC	
, certifies the or satisfactorily proven to be the individe Notification.	at the above named i lual whose name sub	County of	he, either known to me the Authorization and
		Notary Public	
Stamp or Seal		Printed Name	
		My commission expires	, 20
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## **CERTIFICATION OF BUSINESS RELATIONSHIP**

This page is to be completed <u>only</u> by an authorized representative of a Maryland Sponsoring Entity. A Vendor applicant is prohibited from signing this form.

Sponsoring Entity	/:
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Vendor Applicant's Business Name: \_\_\_\_\_

(Include 'T/A' or 'D/B/A' Name, if applicable)

The Vendor Applicant ("Applicant") listed above has entered into a business relationship through agreement or contract with the listed Maryland Sponsoring Entity. The Applicant will provide, or anticipates providing, non-gaming and/or non-sports wagering goods and services with an estimated value of \$\_\_\_\_\_\_ in a calendar year.

The Applicant is required by the Commission to submit a Non-Gaming and Non-Wagering Vendor Registration Application, since either: 1) the Commission has reviewed the Vendor applicant's services and determined that registration is required to protect the public interest or accomplish the policies in State Government Article, Title 9, Subtitle 1A, Annotated Code of Maryland; or 2) the value of the goods and services equals or exceeds \$50,000.

The Applicant listed above has entered into a written agreement or contract to provide the following non-gaming and non-sports wagering goods and/or services:

Check here if the good or service is **COMPUTER SOFTWARE.** 

1	
1	

\_\_\_\_\_, representing \_\_\_\_\_

Printed name of Sponsoring Entity Representative

am authorized to complete and execute/sign business relationship agreements on behalf of the Maryland Sponsoring Entity listed on this form.

Signature of Sponsoring Entity Representative

Printed Name

Title

Date

Name of Sponsoring Entity

## **NOTARY PUBLIC**

The undersigned, a Notary Public in and for the County of \_\_\_\_\_\_, in the State of \_\_\_\_\_\_, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification. This day of \_\_\_\_\_\_, 20 \_\_\_\_, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires \_\_\_\_\_, 20\_\_\_\_\_

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## Software Providers for Maryland Sponsoring Entities

#### Preface

In general, an individual who provides *gaming* and *sports wagering* goods and services 1) essential for the operation of a gaming facility (casino), instant bingo or sports wagering facility, and/or 2) has the ability to affect the outcome of gaming, instant bingo or sports wagering events (e.g., manufacturers of video lottery terminals; central monitor and control systems; gaming management systems; table games and table game equipment; instant bingo machines; sports wagering system platforms; sports wagering odds and wagering technology; wagering terminals and kiosks; and contractors repairing and maintaining systems and equipment) is required to be <u>licensed</u> by the Maryland Lottery and Gaming Control Commission ("Commission").

In general, an individual who provides *non-gaming and non-sports wagering* goods and services that are not essential for the gaming or wagering operation and cannot affect the outcome of gaming or sports wagering (e.g., suppliers of food and beverages; refuse handlers; janitorial and maintenance companies; marketing and advertising companies; and payroll services and other employer-related services) is required to be <u>approved</u> by the Commission as a Non-Gaming and Non-Sports Wagering Vendor. The goods and services may be provided to one or more license holders or license applicants of a **Maryland Sponsoring Entity**. A Maryland Sponsoring Entity is a gaming facility (casino); Gaming Manufacturer; Gaming Contractor; Sports Wagering Facility; Sports Wagering Facility Operator; Sports Wagering Contractor; Online Sports Wagering Operator; or Mobile Sports Wagering Operator.

## Software Provider Affidavit

I, (printed name), am authorized	ed to complete and execute
this affidavit on behalf of ("Applicant")	(printed name of
the Applicant's business entity). I am affiliated with the Applicant as an:	
$\Box$ Owner $\Box$ Partner $\Box$ Director $\Box$ Officer $\Box$ Other	

During the course of business, the Applicant will provide software goods and services, to include revisions and updates to the software, to one or more Maryland Sponsoring Entities. The software may involve only transmission, transfer, and receipt of <u>NON-GAMING AND NON-SPORTS WAGERING</u> data.

I understand that the Applicant is <u>prohibited</u> from connecting to a Maryland Sponsoring Entity's **gaming management system**; **sports wagering platform or system**; **or associated equipment** without authorization from the Commission. I understand that the Applicant is <u>prohibited</u> from affecting or controlling the technology linked with a Maryland Sponsoring Entity's **gaming management system**; **sports wagering platform or system**; **or associated equipment**. If the software will connect with a system outlined above: 1) the applicant will seek guidance from MLGCA, 2) authorization will be obtained from the Commission <u>before</u> any connection is made, and 3) I will <u>not</u> sign this affidavit.

I affirm that the Applicant's software will not connect to a Maryland Sponsoring Entity's **gaming management system**; **sports wagering platform or system**; **or associated equipment**. I also affirm that the Applicant will not affect or control the technology linked with a Maryland Sponsoring Entity's **gaming management system**; **sports wagering platform or system**; **or associated equipment**.

SIGNATURE

DATE