



Lottery and Gaming Control Commission

1800 Washington Boulevard, Suite 330, Baltimore, MD 21230

NON-GAMING and NON-SPORTS WAGERING CONSTRUCTION VENDOR CERTIFICATION APPLICATION

Form #1021CC

Vendor's Business Name (Applicant): _____

MUST be exactly as it is registered with Maryland SDAT

Enter 'D/B/A' or 'T/A' name, if applicable: _____

("Doing Business As" or "Trading As")

Name of Sponsoring Entity: _____

Sponsoring Entity is a: (check one)

- ☐ Video Lottery Facility (Gaming Casino) license holder or license applicant
- ☐ Gaming Manufacturer license holder or license applicant
- ☐ Gaming Contractor license holder or license applicant
- ☐ Sports Wagering Facility license holder or license applicant
- ☐ Sports Wagering Facility Operator license holder or license applicant
- ☐ Mobile Sports Wagering license holder or license applicant
- ☐ Online Sports Wagering Operator license holder or license applicant
- ☐ An authorized Certified Non-Gaming and Non-Sports Wagering Construction Vendor

NOTICE

Maryland's Gaming Law and Sports Wagering Law are long and complex. Maryland Gaming Law is located in State Government Article, Title 9, Subtitle 1A, Annotated Code of Maryland ("SG"). The regulations promulgated by the Maryland Gaming Control Commission ("Commission") are found in Code of Maryland Regulations ("COMAR") 36.03. Collectively, SG § 9-1A *et seq.* and COMAR 36.03 are referred herein as the "Gaming Law". Maryland's Sports Wagering Law is located in SG Title 9, Subtitle 1E, and the regulations promulgated the Commission are found in COMAR 36.10. Collectively, SG § 9-1E *et seq.* and COMAR 36.03 are referred herein as the "Sports Wagering Law".

The cites referenced within this application are for explanatory purposes only, are subject to change, may be different from what is provided, and should not be relied upon. You are expected to be familiar with the current Sports Wagering Law and Gaming Law; updates and information may be found at <https://www.mdgaming.com/>.

Failure or refusal to adhere to the Gaming Law and Sports Wagering Law may result in the Commission imposing sanctions and/or penalties, to include, but not be limited to, placing conditions on the applicant; suspension or cancellation of the certification; and/or fines, and may result in criminal and/or civil charges being filed against the certificate holder.

SECTION A - ELIGIBILITY

A.1 A Sponsoring Entity for purposes of the Non-Gaming and Non-Sports Wagering **Construction** Vendor Certification Application is an applicant for, or a holder of, a/an:

- (a) Video Lottery Facility (Casino) License;
- (b) Gaming Manufacturer License;
- (c) Gaming Contractor License;
- (d) Sports Wagering Facility License;
- (e) Sports Wagering Facility Operator License;
- (f) Mobile Sports Wagering Entity License;
- (g) Online Sports Wagering Operator License;
- (h) Sports Wagering Contractor License; or
- (i) Non-Gaming and Non-Sports Wagering Construction Vendor Certification.

A.2 A "Vendor" has the meaning stated in Code of Maryland Regulation ("COMAR") 36.03.02.07 and COMAR 36.10.06.11 and is any person who provides non-gaming or non-sports wagering goods and services to a Sponsoring Entity.

A Non-Gaming or Non-Sports Wagering Vendor is **prohibited** from providing or attempting to provide any gaming or sports wagering goods, services, parts components or supplies unless **licensed** by the Commission as a:

- (a) Gaming Manufacturer;
- (b) Gaming Contractor; or
- (c) Sports Wagering Contractor.

A.3 A "Certified Construction Vendor" is any person engaged in a construction related field who has contracted with a Sponsoring Entity to provide construction-related goods and/or services and has

provided sufficient proof to the Commission of suitability for certification. Once certified by the Commission, a Certified Construction Vendor is authorized to execute Certification of Business Relationship forms for an applicant of a:

- (a) Non-Gaming and Non-Sports Wagering Construction Vendor Certification;
- (b) Non-Gaming and Non-Sports Wagering Vendor Certification; and
- (c) Non-Gaming and Non-Sports Wagering Vendor Registration.

A.4 A Certified Construction Vendor provides, or anticipates providing, non-gaming construction-related and/or non-sports wagering construction-related goods and services within a calendar year with a combined total value of:

- (a) \$300,000 or above to a single Sponsoring Entity; or
- (b) \$600,000 or above to multiple Sponsoring Entities.

A.5 Pursuant COMAR 36.03.02.17 and COMAR 36.10.06.11, a Vendor, unless exempt, is required to be registered or certified with the Commission **prior to conducting any business** with a Maryland Sponsoring Entity.

SECTION B - APPLICATION CLASSIFICATION

B.1 A construction-related vendor must determine the correct application based on the **combined total value within a calendar year** of construction-related non-gaming and/or non-sports wagering goods and services provided to, or anticipated to be provided to, a Sponsoring Entity or to multiple Sponsoring Entities.

B.2 As described in COMAR 36.03.02.17 and COMAR 36.10.06.11, a construction-related vendor that provides, or anticipates providing, construction-related non-gaming and/or non-sports wagering goods and services within a calendar year with a combined total value of:

- a) Less than \$20,000 to a Sponsoring Entity is **exempt** from submitting an application to the Commission, but is required to be registered with Maryland SDAT;
- b) \$20,000 to \$299,999 to a single Sponsoring Entity is required to be **Registered** by the Commission (Form 1023);
- c) \$20,000 to \$599,999 to multiple Sponsoring Entities is required to be **Registered** by the Commission (Form 1023);
- d) \$300,000 or above to a single Sponsoring Entity is required to be **Certified** by the Commission (Form 1021CC); or
- e) \$600,000 or above to multiple Sponsoring Entities is required to be **Certified** by the Commission (Form 1021CC).

B.3 The Commission monitors all payments to Vendors by each Sponsoring Entity. If the Commission determines that a Vendor's combined total value of construction-related non-gaming and/or non-sports

wagering goods and services is approaching a prescribed threshold, the Sponsoring Entity may delay or cease payments to the Vendor to prevent a violation of the Gaming Law or Sports Wagering Law.

If the Commission determines that a Vendor's combined total value of construction-related goods and services has exceeded a prescribed threshold, the Sponsoring Entity is required to cease payments to the Vendor.

If a Vendor, approaching a prescribed threshold, anticipates providing construction-related non-gaming and/or non-sports wagering goods and services which have a combined value exceeding a prescribed threshold, the Vendor is required to submit the pertinent application to the Commission. The Vendor is prohibited from conducting business which will exceed a prescribed threshold until the Commission approves the Vendor's pertinent and proper application.

If a Vendor exceeded a prescribed threshold, the Vendor is required to submit the pertinent and proper application to the Commission. The Vendor is prohibited from conducting any further business until the Commission approves the Vendor's pertinent and proper application.

Violations of Gaming Law and Sports Wagering Law related to the combined total value of construction-related non-gaming and non-sports wagering goods and services provided by a Vendor **may result in penalties or sanctions against a licensee or cancellation of a Vendor's registration or certification.**

SECTION C – VARIOUS VENDOR-RELATED FORMS

- C.1 Construction-Based Vendor Certification Application, Form 1021CC.** If a Vendor provides, or anticipates providing, non-gaming and/or non-sports wagering goods and services during the construction phase for a Sponsoring Entity then it is a construction-based Vendor. This includes construction management, general contractors and subcontractors. If certification is required, see Section B.2, then Form 1021CC must be submitted to the Commission.
- C.2 Non-Construction-Based Vendor Certification, Form 1021.** If a Vendor provides, or anticipates providing, non-gaming and/or non-sports wagering goods and services for the duration of the hospitality phase (i.e. gaming and sports wagering operations), then the Vendor is a non-construction-based Vendor and if certification is required, see Section B.2, then Form 1021 must be submitted to the Commission.
- C.3 Vendor Registration, Form 1023.** As described in Section B.2 and as provided by COMAR 36.03.02.17 and COMAR 36.10.06.11, if a Vendor provides or anticipates providing non-gaming or non-sports wagering goods and services between \$20,000 to \$299,999 to a single Sponsoring Entity or between \$20,000 to \$599,999 to multiple Sponsoring Entities in a calendar year, then it is required to be registered with the Commission.
- C.4 Emergency Usage of Non-Approved Vendor Services, Form 1024.** This form is completed by the Sponsoring Entity and not the prospective Vendor. The Commission provides Sponsoring Entities, including Certified Construction Vendors with the opportunity to use non-approved vendors in an emergency by completing the Emergency Services Response Vendor Application, Form 1024.

As provided by COMAR 36.03.02.17 and COMAR 36.10.06.11, this notification via Form 1024 must be completed by the Sponsoring Entity, which may include a Certified Construction Vendor, and

submitted to the Commission if a Sponsoring Entity encounters an emergent threat to public health, safety, or welfare that is outside its control and requires immediate provision of goods or services by a Vendor. This emergency notification shall occur no later than the next State work day.

Unless exempt, a Vendor that provided goods or services as an **emergency non-approved Vendor**, then within **20 business days** of submitting Form 1024 a registration or certification form, as applicable shall be submitted to the Commission.

SECTION D - FEES AND COSTS

Application Fee:

Vendor Certification (Form 1021CC) \$1,000.

SECTION E - TERM OF CERTIFICATION AND RENEWAL OF CERTIFICATION

E.1 Term: A Maryland Non-Gaming and Non-Sports Wagering Construction Vendor's Certification is valid for five (5) years from the date of certification.

E.2 Renewal Process: The Commission may renew the Non-Gaming and Non-Sports Wagering Construction Vendor's Certification if the Vendor:

- (a) Submits an application for renewal to the Commission at least 90 days before the Vendor's certification expires, but not more than 120 days before the Vendor's certification expires;
- (b) Continues to comply with all vendor certification requirements; and
- (c) Pays the Non-Gaming and Non-Sports Wagering Construction Vendor's Certification renewal fee of \$500.

SECTION F - REMITTANCE OF FEES

Notice Regarding Required Fees:

Pursuant to SG §9–1A–07, an Applicant is required by the Gaming Law and Sports Wagering Law to submit a payment to the Commission *at the time the application is submitted to the Commission*. If an applicant fails to submit the required payment, the Commission may determine that an Applicant has submitted an incomplete and inaccurate application and may deny the certification.

Note: Vendor application fees, made payable to “*Maryland Lottery and Gaming Control Agency*” are due at the time of application. These fees are **non-refundable**.

Payment Submission Process:

The Sponsoring Entity will designate or assign at least one employee who will be responsible for its vendors, which is the Sponsoring Entity Vendor Administrator. The Licensing Division will authorize the Vendor Administrator to perform certain tasks within the 'eLicensing' system such as uploading documents, entering

data, and submitting data. The Licensing Division expects the Vendor Administrator to convey certain information to the Vendor.

Step 1:

The Applicant is required to submit a payment via option 'A' or 'B' in a timely manner.

- A. The Applicant may submit a payment directly to the Licensing Division in the form of a:
1. Business Check;
 2. Cashier's Check; or a
 3. Money Order (**no** personal checks).

The Business Check, Cashier's Check or Money Order must be sent to:

Maryland Lottery and Gaming Control Agency
Attn: Licensing and Background Investigations Division
1800 Washington Boulevard, Suite 330
Baltimore, Maryland 21230

- B. The Applicant may submit a payment via a Wire Transfer:

| | |
|----------------------------|--|
| Bank Name: | Wells Fargo Bank, N.A. |
| Bank Address: | 420 Montgomery Street, San Francisco, CA 94104 |
| Account Name: | Maryland State Lottery |
| ABA Routing Number: | 121000248 |
| Swift Code: | WFBIUS6S |
| Account Number: | 4928823376 |

Step 2:

The Applicant is **required** to send an email to the Licensing Division (gaming.services@maryland.gov), entitled "Payment Notification."

The email must notify the Commission of the following:

1. The name of the Vendor applicant (especially important if the company's name on the check is different from the Vendor's name);
2. The name of the Sponsoring Entity;
3. The type of application the Vendor is submitting; and
4. The certified / bank check, money order or wire transfer number.

SECTION G - IMPORTANT NOTICES

G.1 Official Document. This form is an official document of the Maryland Lottery and Gaming Control Commission ("Commission"). It may not be altered or changed, except to insert the information that is required. Any alteration or change to this document is prohibited and may cause the application or the Vendor's certification to be delayed or denied.

- G.2 Accuracy, Completeness, and Truthfulness.** You **must** make accurate statements and include all material facts. Any misrepresentation, failure or refusal to provide any required information; intentional omissions of material facts; misrepresentation of details; or failure or refusal to meet any other requirement as set out in the Gaming Law and Sports Wagering Law may result in the denial of the application. False or misleading statements to the Commission may subject a Vendor applicant to civil and/or criminal penalties. All submissions are subject to verification.
- G.3 Vendor's Conduct.** Upon receipt of the certification fee, completed form and verification of the information provided by the Vendor, the Commission will determine whether the Vendor's conduct of business with the Sponsoring Entity is consistent with the public interest of the State and the policies in the Gaming Law and Sports Wagering Law. If the Vendor's conduct is contrary to public interest of the State or the policies of the Gaming Law and Sports Wagering Law then the Commission shall deny the Vendor's request for certification.
- G.4 SDAT Compliance.** Failure or refusal to maintain the criteria for approval, to include compliance with all Maryland State Department of Assessments and Taxation ("Maryland SDAT") regulations, may lead to the Commission suspending or cancelling the Vendor's Certification. Applicant's compliance with Maryland SDAT regulations **will be audited periodically**.
- G.5 Denials.** The Commission's decision to deny or cancel a Vendor certification, or denial of the renewal of a Vendor certification does not give rise to an appeal right under the contested case provisions of the Maryland Administrative Procedure Act. COMAR 36.03.02.17; COMAR 36.10.06.11.
- G.6 Continuing Obligation.** A Vendor is under a continuing obligation to promptly disclose any changes in information provided in the application, as well as, any changes to the materials submitted in connection with this Application. The duty to make such additional disclosures shall continue throughout any period of certification.
- G.7 Changes to Submitted Information.** The applicant shall promptly provide written notification to the Commission of any corrections or changes to this application once submitted or to materials submitted in connection with this Application. The duty to notify the Commission in writing of any changes or corrections shall continue throughout any period of certification.

Changes in the ownership of the Vendor; transfer or departure of individuals (e.g. any officer, partner, director, management or supervisory employees, or the designated point-of-contact); physical/email addresses; or phone numbers **require written notification** to the Commission. The Vendor must immediately submit a Vendor Change of Information Form - Certified Construction Vendor, Form 1021CC-U, available at <https://www.mdgaming.com/licensing/vendors/>.

- G.8 Changes to Vendor's Business Name.** The Vendor may only conduct business with a Sponsoring Entity by the name approved by the Commission. If a Vendor changes the name of the company with which it conducts business with a Sponsoring Entity, the Vendor must immediately submit a Vendor Change of Information Form - Certified Construction Vendor, Form 1021CC-U, available at <https://www.mdgaming.com/licensing/vendors/>.
- G.9 Required Disclosures.** Applicants are required to disclose to the Commission each person or entity who owns more than five percent (5%) of the Applicant's business. See **I.8**. Additional disclosures include those officers, partners and directors, as well as, each employee in a position of supervision or

management, who are responsible for directly or significantly overseeing, administering or controlling the provision of goods and/or services to a Sponsoring Entity. See **I.7** and **I.9**.

Each individual listed on **I.7**, **I.8** and **I.9** is required to submit a notarized Authorization for Release of Information form.

It is recommended that each individual listed on **I.7**, **I.8** and **I.9** contact the Maryland Department of Budget and Management's Central Collections Unit at (410) 767-1220 to determine if the individual has any unpaid debts owed to the State of Maryland.

G.10 Sensitive Personally Identifiable Information ("PII"). The 'eLicensing' system is designed to protect the PII of each individual the Vendor applicant submits to the Commission. The Sponsoring Entity is not given access to the PII. The Sponsoring Entity will only have access to a list of the names of the individuals the Applicant enters into **I.7**, **I.8** and **I.9** so that the Sponsoring Entity can track the Applicant's submission of all **required documents** (i.e. Authorization for Release of Information forms, Affidavit of Applicant, Acknowledgment and Disclosure, etc.).

G.11 Maryland SDAT. A company must be registered with Maryland SDAT to conduct business in Maryland. Companies must maintain compliance with Maryland SDAT laws for the duration of their certification by the Commission.

Submit a *.pdf* of the Applicant's "Good Standing" status or "Trade Name Registration" with Maryland SDAT (**not** a certificate from the Maryland Comptroller's Office) to the Vendor Administrator. The Applicant may submit a copy of the 'General Information' page <https://egov.maryland.gov/BusinessExpress/EntitySearch/Search> that displays the status. The Applicant will **not** need to click on the >>*Order Certificate of Status* (unless one is needed one for the Applicant's company records). The \$20 copy of the certificate from Maryland SDAT is **not needed** for this application process.

The Vendor Administrator will upload the *.pdf* into 'eLicensing' on behalf of the Applicant.

Information regarding the Maryland SDAT registration process may be found at: <http://dat.maryland.gov/Pages/default.aspx>.

NOTE: The Commission periodically monitors each Vendor's compliance with Maryland SDAT. Vendors who fail to abide by Maryland SDAT regulations and allow their Maryland Business Charter to become "Forfeited" may no longer conduct business in Maryland. The Vendor's certification by the Commission will be **cancelled**, and the Vendor will be prohibited from conducting business with any Maryland Sponsoring Entity.

G.12 PIA Requests. An Applicant should clearly identify those portions of their application that they deem to be confidential, proprietary commercial information, trade secrets, or confidential financial information of the Applicant and provide justification of why such materials may not be disclosed by the Commission pursuant to a request made under the Public Information Act ("PIA"), Title 4, Subtitle 3, General Provisions Article, Annotated Code of Maryland ("GP"). An Applicant's blanket statement that its entire application is "confidential" is unacceptable. Confidential information supplied by the Applicant shall be used in the ordinary course of processing an application and evaluating the qualifications of an Applicant.

Applications may be subject to partial disclosure pursuant to a request under the PIA. When the Commission receives a PIA request, Staff will collect and review all records that are responsive to the request. The Commission will notify an Applicant of a request made under the PIA and will consider the Applicant's views as to whether the requested information is exempt from disclosure under the PIA. The Commission will make a determination as to whether the information may be disclosed.

G.13 Qualification to Provide Goods and Services. Once a Vendor is certified by the Commission, the Vendor is authorized to conduct business with all Sponsoring Entities. The Vendor is not required to submit a new application for each new Sponsoring Entity with whom the Vendor contracts to provide construction-related goods and services.

G.14 Supplemental Documentation. The Commission may request additional financial and other information, as needed.

SECTION H - INSTRUCTIONS

These instructions are applicable to any Applicant for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification. Detailed instructions for the completion and submission of an application in the 'eLicensing' system can be found in the [Vendor Applicant Reference Guide](#) which may be downloaded by the Applicant.

- H.1** Vendor applications are submitted to the Commission electronically via the 'eLicensing' system. Paper applications for Construction Vendor Certification will not be accepted by the Commission.
- H.2** Applicants will be provided with a **logon ID** and **temporary password** by the Sponsoring Entity's Vendor Administrator. The 'eLicensing' system will generate a list of **required documents** that the Applicant must forward to the Vendor Administrator. The Vendor Administrator will upload the documents into 'eLicensing' on behalf of the Applicant.
- H.3** Read each question carefully. **Do not leave blank spaces or blank blocks.** If a question does not apply, write "Does not apply" or "N/A." If the correct answer to a particular question is "None," write "None."
- H.4** Do not omit or otherwise bypass answers to any response throughout the application. Vendor applicants who submit incomplete applications may be denied.
- H.5** The Applicant must ensure that every individual listed on **I.7, I.8** and **I.9** completes and uploads a notarized 'Authorization of Release of Information - (Individual)' form.

SECTION I - APPLICANT INFORMATION**I.1 BUSINESS NAME OF APPLICANT**

Provide the name of the business as written in the Articles of Incorporation, By-Laws, Charter Partnership Agreement or other official documents filed with a State or Federal Government:

Doing Business As (d/b/a) or Trading As (t/a) Name:

I.2 APPLICANT'S PRINCIPAL ADDRESS(ES)

Describe the Applicant's use of this address: (check all that apply to this address)

☐ Mailing ☐ Residential ☐ Corporate ☐ Production ☐ Development/Testing ☐ Warehouse ☐ Other _____

Address Line 1

Address Line 2

City

State

Zip

Mailing Address Line 1, if different from above, otherwise enter "Same"

Mailing Address Line 2, if different from above, otherwise enter "Same"

City

State

Zip

Vendor's Website

Describe the Applicant's use of this address: (check all that apply to this address) ☐ **No Secondary Address**

☐ Mailing ☐ Residential ☐ Corporate ☐ Production ☐ Development/Testing ☐ Warehouse ☐ Other _____

Address Line 1

Address Line 2

City

State

Zip

Mailing Address Line 1, if different from above, otherwise enter "Same"

Mailing Address Line 2, if different from above, otherwise enter "Same"

City

State

Zip

Vendor's Website

I.3 APPLICANT'S POINT-OF-CONTACT

Point-of-Contact*: (Name) _____ (Title) _____

*This individual must have the authority to make decisions on behalf of the Vendor.

E-mail address: _____

All notifications will be made to this e-mail address. Check the "SPAM" folder for e-mails from "...@maryland.gov."

Office Telephone Number: _____ Cell Phone Number: _____

I.4 COMPLIANCE WITH MARYLAND SDAT REGISTRATION**Maryland SDAT registration required (not a certificate from the Maryland Comptroller's Office)**

Maryland SDAT Department ID Number (1 letter plus 8 numbers): _____

☐ Certificate of "Good Standing" or ☐ "Trade Name Registration"**I.5 SPONSORING ENTITY**

Vendor's Sponsoring Entity:

I.6 COMBINED TOTAL VALUE OF GOODS AND SERVICES

Identify the combined total value of construction-related goods and services the Vendor expects to provide, or has been contracted to provide, during a calendar year.

The actual contracted value of goods and services will be \$ _____. Refer to **B.2.**Provide an exact contracted dollar amount, **NOT a range.**

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I.7 CONSTRUCTION VENDOR OFFICER(S), PARTNER(S), AND DIRECTOR(S)

Complete all 15 blocks for each individual.

Provide information for each **Officer, Partner and Director** who will be directly or significantly involved in providing construction related goods and services to a Sponsoring Entity.

| | | | | | | | |
|------------------------|--|---------------|--|---------------------|--|-------------------------|--|
| Last Name | | First Name | | Middle Name | | Suffix (Jr., Sr., etc.) | |
| Occupation | | | | Title | | | |
| Home Address Line 1 | | | | Home Address Line 2 | | | |
| City | | | | State/Province | | Zip Code | |
| Social Security Number | | Date of Birth | | E-mail Address | | Phone Number | |

| | | | | | | | |
|------------------------|--|---------------|--|---------------------|--|-------------------------|--|
| Last Name | | First Name | | Middle Name | | Suffix (Jr., Sr., etc.) | |
| Occupation | | | | Title | | | |
| Home Address Line 1 | | | | Home Address Line 2 | | | |
| City | | | | State/Province | | Zip Code | |
| Social Security Number | | Date of Birth | | E-mail Address | | Phone Number | |

Applicants listed on this page **must** upload a completed, signed and notarized Authorization for Release of Information (Page 16).

I.8**CONSTRUCTION VENDOR OWNERS**

Complete all 15 blocks for each individual.

Provide information for each person or entity who owns more than five percent (5%) of the Vendor or its business, to include Vendors operating as a General Partnership.

| | | | | | | | |
|------------------------|--|---------------|--|---------------------|--|-------------------------|--|
| Last Name | | First Name | | Middle Name | | Suffix (Jr., Sr., etc.) | |
| Occupation | | | | Title | | | |
| Home Address Line 1 | | | | Home Address Line 2 | | | |
| City | | | | State/Province | | Zip Code | |
| Social Security Number | | Date of Birth | | E-mail Address | | Phone Number | |

| | | | | | | | |
|------------------------|--|---------------|--|---------------------|--|-------------------------|--|
| Last Name | | First Name | | Middle Name | | Suffix (Jr., Sr., etc.) | |
| Occupation | | | | Title | | | |
| Home Address Line 1 | | | | Home Address Line 2 | | | |
| City | | | | State/Province | | Zip Code | |
| Social Security Number | | Date of Birth | | E-mail Address | | Phone Number | |

Applicants listed on this page **must** upload a completed, signed and notarized Authorization for Release of Information (Page 16).

I.9**CONSTRUCTION VENDOR MANAGERS / SUPERVISORS**

Complete all 15 blocks for each individual.

Provide information for individuals holding positions of supervision or management who are responsible for directly or significantly overseeing the provision of construction-related goods and/or services to a Sponsoring Entity. The Applicant shall divulge those individuals who are assigned to administer and control the Vendor's activities within the Sponsoring Entity, such as site superintendents, construction managers, foremen, project managers, field supervisors, project supervisors.

| | | | | | | | |
|------------------------|--|---------------|--|---------------------|--|-------------------------|--|
| Last Name | | First Name | | Middle Name | | Suffix (Jr., Sr., etc.) | |
| Occupation | | | | Title | | | |
| Home Address Line 1 | | | | Home Address Line 2 | | | |
| City | | | | State/Province | | Zip Code | |
| Social Security Number | | Date of Birth | | E-mail Address | | Phone Number | |

| | | | | | | | |
|------------------------|--|---------------|--|---------------------|--|-------------------------|--|
| Last Name | | First Name | | Middle Name | | Suffix (Jr., Sr., etc.) | |
| Occupation | | | | Title | | | |
| Home Address Line 1 | | | | Home Address Line 2 | | | |
| City | | | | State/Province | | Zip Code | |
| Social Security Number | | Date of Birth | | E-mail Address | | Phone Number | |

Applicants listed on this page **must** upload a completed, signed and notarized Authorization for Release of Information (Page 16).

I.10**APPLICANT'S BUSINESS BACKGROUND**

(a) DESCRIPTION OF THE VENDOR'S PRESENT BUSINESS. Furnish the Commission with a 'snapshot' of the Vendor Applicant's company and describe the Vendor's capacity and capabilities to provide the services declared in the application.

(b) DESCRIPTION OF THE SPECIFIC TYPE(S) OF GOODS OR SERVICES TO BE PROVIDED BY THE VENDOR TO THE SPONSORING ENTITY.

(c) NAME OF MARYLAND SPONSORING ENTITY(IES) TO WHICH SUCH GOODS OR SERVICES WILL BE PROVIDED. List each entity.

(d) LIST OTHER VIDEO LOTTERY FACILITIES (CASINOS), SPORTS WAGERING FACILITIES, AND ONLINE SPORTS WAGERING OPERATIONS SERVED BY THE VENDOR. Provide a list of other jurisdictions where the Vendor conducts business related to gaming, or sports wagering. (List by Name, City, State, Country). Applicant may upload a list if necessary.

(e) TALLY OF THE WORK FORCE SUPPORTING THE VENDOR'S PROVISION OF CONSTRUCTION RELATED GOODS AND SERVICES. Furnish the Commission with the total number of employees IN MARYLAND who will be directly associated with providing the construction-related goods or services. Furnish the Commission with the total number of employees OUTSIDE OF MARYLAND who will be directly associated with providing the construction-related goods or services.

In Maryland:

Outside of Maryland:

(f) IN THE LAST TEN (10) YEARS, HAS THE APPLICANT HAD ANY GAMING OR SPORTS WAGERING LICENSE APPLICATION, LICENSE, PERMIT OR OTHER AUTHORIZATION ISSUED BY A GOVERNMENT AGENCY IN ANY JURISDICTION BE DENIED, SUSPENDED OR REVOKED? If "Yes," provide 1) type of license or permit; 2) jurisdiction; 3) details of jurisdiction's actions; and 4) current status of license or permit.

☐ YES ☐ NO

AUTHORIZATION FOR RELEASE OF INFORMATION (INDIVIDUAL)

TO: _____
(Leave blank - to be filled in by the Commission)

FROM: _____
(Applicant Affiliate's Printed Name)

I, the above listed individual, am affiliated with an Applicant who is applying to the Maryland Lottery and Gaming Control Commission ("Commission") for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification. I am affiliated with the Applicant as a/an:

☐ Owner ☐ Partner ☐ Director ☐ Officer ☐ Supervisor/Manager/Employee ☐ Other _____

The Commission, and its employees, agents, and vendors, is required by law to conduct an investigation of an Applicant and the Applicant's officers, partners, directors, proprietors, owners, certain employees, and certain other individuals affiliated with the Applicant as deemed necessary.

That investigation requires the Commission to collect and evaluate information about me. By executing this Authorization for Release of Information, I authorize any: Local, State or Federal government unit; commercial or business enterprise, including a consumer reporting agency, a non-profit entity, an individual or any other public or private entity, to release to the Commission any and all information about me that the Commission requests. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases information to the Commission, under the authority of this Authorization.

A photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally effective as an original.

Signature of Individual Completing Form

Date

Printed Name

Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires _____, 20____

AUTHORIZATION FOR RELEASE OF INFORMATION (BUSINESS)

TO: _____
(Leave blank - to be filled in by the Commission)

FROM _____
(Printed Name of the Applicant)

The above listed entity ("Applicant") is applying for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification in the State of Maryland. I am an authorized representative of the above listed Applicant.

I understand that the Maryland Lottery and Gaming Control Commission ("Commission") is required to conduct an investigation of an applicant for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification. That investigation requires the Commission to collect and evaluate information about the entity that I represent. On behalf of the entity, I irrevocably give consent to the Commission, and persons authorized by the Commission, to: (1) verify all information provided in the license application documents; (2) conduct a background investigation of the entity; and to have access to any and all information that the entity has provided to any other jurisdiction seeking a similar license in that jurisdiction, as well as the information obtained by that other jurisdiction during the course of any investigation that it may have conducted about the entity.

That investigation required the Commission to collect and evaluate information about the Applicant. By executing this Authorization for Release of Information, I authorize any: Local, State or Federal government unit; commercial or business enterprise, including a consumer reporting agency, a non-profit entity, an individual or any other public or private entity, to release to the Commission any and all information about the Applicant and its principals that the Commission requests. The requested information may be released in written, verbal, electronic, or any other form.

With respect to any claims or liability arising from the release of the requested information to the Commission, on behalf of the entity, I expressly waive, release, discharge and forever hold harmless and agree to indemnify, the unit, entity, or individual that releases information to the Commission under the authority of this Authorization. Photo, facsimile, or electronic copy of this signed and dated Authorization shall be equally effective as an original.

 Signature of Individual Completing Form
 My affiliation with the Applicant is: ☐ Owner ☐ Partner ☐ Director ☐ Officer ☐ Other _____

 Printed Name

 Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

 Notary Public

Stamp or Seal

 Printed Name

My commission expires _____, 20____

AFFIDAVIT OF REPRESENTATIVE OF APPLICANT

I, _____ (printed name), am authorized to complete and execute this Non-Gaming and Non-Sports Wagering Construction Vendor Certification Application on behalf of the Vendor Applicant ("Applicant") _____ (printed name of the Applicant). I am also authorized to provide all of the information requested in this application to the Maryland Lottery and Gaming Control Commission, its employees, agents, and vendors (collectively, "the Commission"), and to make the representations set forth in this Affidavit.

I have read, and understand, every page of this Application. To the best of my knowledge, information, and belief, the information that I have provided on, or attached to, or otherwise submitted in connection with this Application is accurate, complete, and not misleading. I understand that any misrepresentation or omission may lead to the delay or denial of an application for a Construction Vendor Certification, or lead to the delay or denial of an application for certification, or may result in the Commission taking action on the Applicant's application or certification, up to and including cancellation of its certification if it has been approved or denial of an application for certification. I understand that any misrepresentation or omission on this Application may also subject me, or the Applicant that I represent, to civil or criminal liability. I understand and acknowledge that the Applicant has an ongoing duty to promptly notify the Commission if any information it provides the Commission changes.

By a separate Authorization for Release of Information, I am authorizing any entity or individual that has information about the Applicant that I represent, to release that information to the Commission for purposes of its investigation of an Applicant for Construction Vendor Certification.

On behalf of the Applicant and its successors and assigns, I expressly waive, release, discharge, and forever hold harmless and agree to indemnify, the Commission, the State of Maryland, and their employees, agents, and representatives, from liability for any and all claims or legal action arising from any actions that the Commission or the State of Maryland may take related to the collection of information from the Applicant and the use of that information in connection with an investigating the Applicant.

Signature of Authorized Representative

Date

Printed Name of Authorized Representative

Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires _____, 20____

ACKNOWLEDGEMENT AND DISCLOSURE

I, _____ (name of the Representative of an Applicant), the Representative of an Applicant for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification, understand and acknowledge with my initials and signature the following:

I, _____ (name of the Representative of an Applicant), am applying for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification on behalf of the Vendor Applicant ("Applicant") _____ (name of the Vendor Applicant). The Applicant cannot conduct business with a Maryland Sponsoring Entity as described in Section A.1 of this Application, unless the Commission approves the Applicant. The Applicant must meet and maintain the legal requirements for approval, to include continuous Maryland SDAT compliance. The Commission, through its employees, agents and vendors, is required by law to conduct an investigation into the suitability of an Applicant for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification. Initials _____

During the investigation, the Commission is required to collect and evaluate various kinds of information or reports to determine if Applicants meet the eligibility and qualification requirements for certification. The background investigation will include, but not be limited to, information or reports about the Applicant's: character; general reputation; personal characteristics, including honesty and integrity; financial stability; debts to State agencies; criminal records, records of involvement with federal, state or other law enforcement agencies as specified in the application, or record of involvement with any litigation. As a representative, I have the right to request a complete and accurate disclosure of the nature and scope of the investigation and a copy of a summary of the Applicant's rights under federal credit reporting law. Initials _____

I am requesting that the Commission, through its employees, agents or vendors, obtain this information about the Applicant to evaluate their eligibility for approval. I acknowledge that this disclosure and authorization remain in effect during the time the application is pending and for the duration of any Non-Gaming or Non-Sports Wagering Construction Vendor Certification. Initials _____

By separate Authorization for Release of Information, I am authorizing any entity or individual that has information about the Applicant that I represent, to release that information to the Commission for purposes of its investigation of an Applicant for a Non-Gaming and Non-Sports Wagering Construction Vendor Certification. Initials _____

Signature of Individual Completing Form

Date

Printed Name

Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires _____, 20____

CERTIFICATION OF BUSINESS RELATIONSHIP

**This page is to be completed only by an authorized representative of a Maryland Sponsoring Entity.
A Vendor applicant is prohibited from signing this form.**

Sponsoring Entity: _____

Vendor Applicant's Business Name: _____
(Include 'T/A' or 'DBA' Name, if applicable)

The Vendor Applicant ("Applicant") listed above entered into a business relationship through agreement or contract with the listed Maryland Sponsoring Entity. The Applicant will provide, or anticipates providing, non-gaming and non-sports wagering goods and/or services with an estimated value of \$_____ in a calendar year. The Applicant is required by the Commission to submit a Non-Gaming and Non-Sports Wagering Vendor **Certification** Application since the estimated *total* value to be provided either:

- 1) exceeds \$299,999 to a *single* Sponsoring Entity; or
- 2) exceeds \$599,999 combined to *more than one* Sponsoring Entity.

The Applicant listed above has entered into a written agreement or contract to provide the following non-gaming and non-sports wagering goods and/or services:

☐ Check here if the good or service is **COMPUTER SOFTWARE**

I, _____, representing _____

Printed name of Sponsoring Entity Representative **Name of Sponsoring Entity**
am authorized to complete and execute/sign business relationship agreements on behalf of the Maryland Sponsoring Entity listed on this form.

Signature of Sponsoring Entity Representative

Date

Printed Name

Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed the Authorization and Notification.

This _____ day of _____, 20_____, and to which witness my hand and seal.

Notary Public

Stamp or Seal

Printed Name

My commission expires _____, 20_____