

Title 36 MARYLAND STATE LOTTERY AND GAMING CONTROL AGENCY

Subtitle 03 GAMING PROVISIONS

Chapter 10 Video Lottery Facility Internal Control Standards

Authority: State Government Article, §§9-1A-02 and 9-1A-04, Annotated Code of Maryland

.28 Counter Check Substitution, Consolidation, and Redemption.

(A. – G. text unchanged)

H. A facility operator may conduct a substitution, consolidation, ~~or~~ and full or partial redemption transaction:

(1) At the cashiers' cage during the hours of operation approved by the Commission for the facility under COMAR 36.03.11.02;

(2) By mail; ~~or~~

(3) At an off-site customer service location; ~~or~~

(4) In a table game pit.

I. (text unchanged)

J. A facility operator may allow a player to redeem a counter check at a gaming table in exchange for gaming chips.

K. A facility operator's internal controls for redeeming a counter check at a gaming table shall include:

(1) A requirement that a redemption occur:

(a) On the same gaming day the counter check is issued;

(b) At the same gaming pit where the counter check was issued and held for pit redemption;

(2) A requirement that a general cashier or casino clerk:

(a) Remove the original and redemption copy of the counter check from its secured location in the pit;

(b) Prepare a two-part serially prenumbered pit redemption form in accordance with this regulation;

(c) Present the pit redemption form and the original and redemption copy of the counter check to the dealer or boxperson, in the presence of a floorperson or above;

(3) Procedures and controls over the counter check redemption process which require:

(a) A dealer or boxperson to verify that the amount of chips received from the player agrees with the amount of the original counter check and the pit redemption form;

(b) The dealer or boxperson to place the gaming chips from the player into the table inventory;

(c) The dealer or boxperson, and the floorperson to sign the pit redemption form and;

(d) The dealer or boxperson to deposit the original of the pit redemption form in the drop box;

(e) The floorperson to return the original counter check to the player; and

(f) The floorperson to return the redemption copy of the counter check and the duplicate of the pit redemption form to the general cashier or casino clerk;

(g) The general cashier or casino clerk to forward the redemption copy of the counter check to the check bank for processing; and

(h) The cashiers' cage to forward the duplicate of the pit redemption form to the casino accounting department at the end of the gaming day; and

(4) A requirement that the general cashier or casino clerk records the following information on a two-part serially prenumbered pit redemption form residing in a book, wiz machine, or functional equivalent, the:

(a) Date and time of redemption;

(b) Identification number of the table game;

(c) Player's name;

(d) Counter check number and amount;

(e) Signature of the general cashier or casino clerk;

(f) Signature of the table game dealer or boxperson; and

(g) Signature of the floorperson or above.

L. (text unchanged)

PROPOSED